# Short-term exposure to ambient air pollution and readmissions for heart failure among 3660 post-discharge patients with hypertension in older Chinese adults

Ruijun Xu,<sup>1</sup> Qi Tian,<sup>2</sup> Jing Wei,<sup>3</sup> Yunshao Ye,<sup>2</sup> Yingxin Li,<sup>1</sup> Qiaoxuan Lin,<sup>2</sup> Yaqi Wang,<sup>1</sup> Likun Liu,<sup>1</sup> Chunxiang Shi,<sup>4</sup> Wenhao Xia,<sup>5</sup> Yuewei Liu <sup>1</sup>

► Additional supplemental material is published online only. To view, please visit the journal online (http://dx. doi.org/10.1136/jech-2022-219676).

<sup>1</sup>Department of Epidemiology, School of Public Health, Sun Yat-sen University, Guangzhou, Guangdong, China <sup>2</sup>Department of Statistics, Guangzhou Health Technology Identification and Human Resources Assessment Center, Guangzhou, Guangdong, China <sup>3</sup>Department of Atmospheric and Oceanic Science, Earth System Science Interdisciplinary Center, University of Maryland at College Park, College Park, Maryland, USA <sup>4</sup>Meteorological Data Laboratory, National Meteorological Information Center, Beijing, China <sup>5</sup>Department of Hypertension and Vascular Disease, Sun Yat-sen University First Affiliated Hospital, Guangzhou, Guangdong, China

#### Correspondence to

Dr Yuewei Liu, Sun Yat-sen University, Guangzhou, Guangdong 510080, China; liuyuewei@mail.sysu.edu.cn

RX and QT contributed equally.

Received 8 August 2022 Accepted 21 September 2022 Published Online First 5 October 2022



© Author(s) (or their employer(s)) 2022. No commercial re-use. See rights and permissions. Published by BMJ.

**To cite:** Xu R, Tian Q, Wei J, et al. J Epidemiol Community Health 2022;**76**:984–990.

# **ABSTRACT**

**Background** Despite ambient air pollution being associated with various adverse cardiovascular outcomes, the acute effects of ambient air pollution on hospital readmissions for heart failure (HF) among post-discharge patients with hypertension remain less understood. **Methods** We conducted a time-stratified case-crossover study among 3660 subjects 60 years or older who were admitted to hospital for HF after discharge for hypertension in Guangzhou, China during 2016–2019. For each subject, individualised residential exposures to ambient particulate matter with an aerodynamic diameter  $\leq 1 \ \mu m \ (PM_1), \leq 2.5 \ \mu m \ (PM_{2.5}), \leq 10 \ \mu m \ (PM_{10}),$  sulfur dioxide (SO<sub>2</sub>), nitrogen dioxide (NO<sub>2</sub>), carbon monoxide (CO) and ozone were extracted from our validated grid dataset.

**Results** An IQR increase of lag 04-day exposure to PM,  $(IQR: 11.6 \mu g/m^3)$ ,  $PM_{3.5} (IQR 21.9 \mu g/m^3)$ ,  $PM_{3.5} (IQR$ 35.0 μg/m<sup>3</sup>), SO<sub>2</sub> (IQR <sup>2.3</sup>/<sub>4.4</sub> μg/m<sup>3</sup>), NO<sub>2</sub> (IQR 23.3 μg/m<sup>3</sup>) and CO (IQR 0.25 mg/m<sup>3</sup>) was significantly associated with a 9.77% (95% CI 2.21% to 17.89%), 8.74% (95% CI 1.05% to 17.00%), 13.93% (95% CI 5.36% to 23.20%), 10.81% (95% CI 1.82% to 20.60%), 14.97% (95% CI 8.05% to 22.34%) and 7.37% (95% CI 0.98% to 14.16%) increase in odds of HF readmissions, respectively. With adjustment for other pollutants, the association for NO<sub>2</sub> exposure remained stable, while the associations for  $PM_1$ ,  $PM_{2.5}$ ,  $PM_{10}$ ,  $SO_2$  and CO exposures became insignificant. Overall, an estimated 19.86% of HF readmissions were attributable to NO<sub>2</sub> exposure, while reducing NO<sub>2</sub> exposure to the WHO and China air quality standards would avoid 12.87% and 0.54% of readmissions, respectively. No susceptible populations were observed by sex, age or season.

**Conclusion** Short-term exposure to ambient  $NO_2$  was significantly associated with an increased odds of HF readmissions among post-discharge patients with hypertension in older Chinese adults.

#### INTRODUCTION

As a leading chronic non-communicable disease, hypertension affects up to 1.28 billion adults worldwide in 2019 and this number was doubled since 1999. Over the past few years, accumulating evidence has proven that patients with hypertension especially post-discharge patients with hypertension are at an increased risk of heart failure (HF)

# WHAT IS ALREADY KNOWN ON THIS TOPIC

⇒ Post-discharge patients with hypertension are at a high risk of heart failure (HF). As a major public health issue globally, ambient air pollution was demonstrated to trigger various adverse cardiovascular outcomes; however, the health effects of ambient air pollution on risk of hospital readmissions for HF among postdischarge patients with hypertension remain less understood.

# WHAT THIS STUDY ADDS

⇒ Short-term exposure to ambient nitrogen dioxide was significantly associated with an increased odds of readmissions for HF among older post-discharge patients with hypertension, which may result in considerable excess hospital readmissions.

# HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

⇒ Our findings suggest that exposure to ambient air pollution, especially nitrogen dioxide, substantially contributes to the occurrence of HF among post-discharge patients with hypertension, which provides useful clues for clinical practitioners to help prevent readmissions for HF by taking into consideration the adverse effects of air pollution during the post-discharge health management for patients with hypertension.

which was well known as a condition with poor prognosis.<sup>2</sup> <sup>3</sup> In the USA, HF is reported as one of the most common cardiovascular causes of readmissions after discharge for hypertension.<sup>4</sup> Likewise, in China, approximately 55% of patients hospitalised for HF were accompanied by hypertension,<sup>5</sup> which has raised much attention because the prevalence of hypertension among the general Chinese population was up to 45%.<sup>6</sup> Given the alarmingly unoptimistic outcomes of hypertension and HF after discharge, it is of great interest and importance to prevent HF readmissions for post-discharge patients with hypertension.

To date, several sociodemographic factors (including age, sex, race, insurance status, income,

smoking, alcohol use) and comorbidity conditions have been associated with a higher risk of HF in post-discharge patients with hypertension. <sup>7</sup> Unlike these personal risk factors, ambient air pollution was ubiquitous and its potential adverse effects on human health have gained mounting public attentions. In 2013, a systematic review and meta-analysis concluded that short-term exposure to ambient particulate matter with an aerodynamic diameter  $\leq 2.5 \ \mu m \ (PM_{10})$ ,  $\leq 10 \ \mu m \ (PM_{10})$ , sulfur dioxide (SO<sub>2</sub>), nitrogen dioxide (NO<sub>2</sub>), carbon monoxide (CO), but not ozone (O<sub>2</sub>), was significantly associated with an increased risk of HF hospitalisation or death<sup>9</sup>; however, for post-discharge patients with hypertension with higher risk of HF, only one study specifically investigated the association of PM<sub>10</sub> exposure with readmissions for HF among post-discharge patients with hypertension and the results were limited. <sup>10</sup> In addition, the acute effects of other criteria air pollutants including particulate matter with an aerodynamic diameter  $\leq 1 \, \mu m \, (PM_1), PM_2, SO_2$ NO<sub>2</sub>, CO and O<sub>3</sub> are far from being elucidated. The inconclusive evidence hindered policymakers to formulate target protective policies or interventions to prevent HF readmissions for postdischarge patients with hypertension.

Here, we conducted a population-based case-crossover study to investigate the association of short-term exposure to ambient PM<sub>1</sub>, PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO and O<sub>3</sub> with HF readmissions among post-discharge patients with hypertension, and estimate the corresponding excess hospital readmissions in Guangzhou, China during 2016–2019.

# METHODS Study area

This study was conducted in Guangzhou, which is the capital city of Guangdong province in southern China. According to the Seventh Population Census in 2021, Guangzhou covers an area of 7434 km<sup>2</sup> with a total population of 18.7 million in 2020. As an important city in Pearl River Delta, Guangzhou has experienced considerable air pollution issues alongside its rapid economic development.

# **Study population**

Hospital admission data in Guangzhou, China from 2016 to 2019 were obtained from the Guangzhou Health Technology Identification and Human Resources Assessment Center, which included all hospitals that provided inpatient care services in Guangzhou. The total number of included hospitals increased from 345 in 2016 to 372 by the end of 2019. Patients with an admission for hypertension (systolic blood pressure ≥140 mm Hg or diastolic blood pressure ≥90 mm Hg) who had HF readmission records after their first hypertension admission were identified. 11 After excluding subjects with incomplete or missing residential address information (n=2) or those living outside Guangdong province (n=31), we finally included 3660 patients 60 years or older who were admitted for HF after discharge for hypertension with 6258 hospital admission records in the analyses. Demographic information including date of birth, sex, race and date of readmission was extracted for each readmission.

# Outcomes

The study outcome was readmission for HF after hospital discharge for hypertension. According to the International Statistical Classification of Diseases and Related Health Problems 10th Revision (ICD-10), we used I50 to define readmission for HF.

# Study design

We estimated the association of ambient air pollution with hospital readmissions for HF with a time-stratified case-crossover design. This design was first described by Maclure in 1992 and has been broadly used to investigate transient effects of air pollution on the risk of acute events. 12-14 In this design, each subject serves as his or her own control by assessing referent exposures before and/or after the day of event within a given time stratum (eg, a month). For each readmission, we defined a case day as the date of readmission, and defined its corresponding control days as dates with the same day of week in the same month and year as the case day. 15 For example, if a subject was admitted on 10 October 2019 (Thursday), the case day was defined as 10 October 2019 and all other Thursdays in October 2019 (ie, 3, 17, 24, 31 October) were chosen as the corresponding control days. According to this approach, we eventually matched 21 336 control days for 6258 case days in the analyses. As each subject serves as his or her own reference, the case-crossover design provides unique opportunities to control for the effects of stable individual-specific covariates.<sup>16</sup>

# **Exposure assessment**

Daily grid data at a spatial resolution of 10×10 km on ambient air pollution concentrations in Guangdong province during 2016-2019 were retrieved from our ChinaHighAirPollutants (CHAP) dataset. Generated using our proposed artificial intelligence models combining with big data including ground measurements, satellite remote sensing products, model simulations, and atmospheric reanalysis, 17-21 this dataset has high cross-validated coefficient of determination (R<sup>2</sup>) for daily ambient PM<sub>1</sub>, PM<sub>2</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO and O<sub>3</sub> of 0.83, 0.91, 0.88, 0.84, 0.84, 0.80 and 0.87, respectively. For each readmission, daily ambient air pollution exposures during the case and control days were assessed by extracting the daily 24-hour average PM, PM, 5, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO and maximum 8-hour moving average O<sub>3</sub> concentrations from the CHAP dataset based on each subject's geocoded residential address. We chose different lag structures (including single day from lag 0-day to lag 6-day and moving average day from lag 01-day to lag 06-day) exposures to examine the short-term associations of air pollution exposure with readmissions and used the lag day showing the strongest association

**Table 1** Characteristic of study subjects in Guangzhou, China during 2016–2019

Characteristic	N (%)
Heart failure readmission patients	3660
Case days	6258
Control days	21 336
Age, year, mean±SD	81.24±8.11
≤80	2512 (40.1)
>80	3746 (59.9)
Sex	
Male	2437 (38.9)
Female	3821 (61.1)
Race	
Han	6228 (99.5)
Other	7 (0.1)
Unknown	23 (0.4)
Season at readmission	
Warm (May–October)	3092 (49.4)
Cool (November–April)	3166 (50.6)

# Original research

**Table 2** Distribution of ambient air pollutants and meteorological conditions on the date of readmissions for heart failure among older patients with hypertension

	Mean (SD)	Min	P <sub>5</sub>	P <sub>25</sub>	P <sub>50</sub>	P <sub>75</sub>	P <sub>95</sub>	Max	IQR
Air pollutant									
PM <sub>1</sub> , μg/m <sup>3</sup>	19.5 (10.8)	2.2	7.3	12.4	17.1	24.0	40.3	94.9	11.6
PM <sub>2.5</sub> , μg/m <sup>3</sup>	33.6 (18.5)	5.6	12.5	20.5	29.5	42.4	68.1	189.2	21.9
PM <sub>10</sub> , μg/m <sup>3</sup>	57.1 (28.5)	10.7	23.8	36.4	49.7	71.4	112.8	256.3	35.0
SO <sub>2</sub> , μg/m <sup>3</sup>	9.8 (3.5)	2.7	5.8	7.2	9.1	11.6	16.5	33.5	4.4
NO <sub>2</sub> , μg/m <sup>3</sup>	49.5 (22.1)	10.0	23.0	34.9	44.9	58.2	90.1	247.9	23.3
CO, mg/m <sup>3</sup>	0.90 (0.23)	0.36	0.62	0.75	0.85	1.00	1.37	2.64	0.25
O <sub>3</sub> , μg/m <sup>3</sup>	100.2 (53.6)	3.8	21.0	61.1	93.8	135.7	201.5	284.0	74.6
Meteorological condition									
Temperature, °C	23.6 (5.6)	4.7	13.8	19.4	24.3	28.6	30.8	33.4	9.2
Relative humidity, %	75.6 (13.4)	26.0	48.1	68.4	79.8	85.7	90.6	96.4	17.3

CO, carbon monoxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone; PM<sub>1</sub>, particulate matter with an aerodynamic diameter ≤1 μm; PM<sub>10</sub>, particulate matter with an aerodynamic diameter ≤1.5 μm; PO<sub>2,5</sub>, particulate matter with an aerodynamic diameter ≤2.5 μm; SO<sub>3</sub>, sulfur dioxide.

in the main analysis for each air pollutant. <sup>14</sup> For example, lag 0-day exposure refers to the exposure on the day of readmission; lag 1-day (2-day, 3-day, 4-day, 5-day, 6-day) exposure refers to the exposure on 1 day (2, 3, 4, 5, 6 days) before the day of readmission, while lag 01-day (02-day, 03-day, 04-day, 05-day, 06-day) exposure refers to the mean of exposure on the same day of readmission and 1 day (2, 3, 4, 5, 6 days) prior.

#### Covariates

Using meteorological grid data from the China Meteorological Administration Land Data Assimilation System (CLDAS, V.2.0; spatial resolution: 0.0625°×0.0625°), we obtained daily average temperature (°C) and daily average relative humidity (%) in Guangdong province during the study period and assessed

residential exposure to these two meteorological conditions by extracting daily 24-hour average values during the case days and corresponding control days for each readmission.<sup>22 23</sup> As most individual-level covariates (eg, demographic information, socioeconomic status) were unlikely to vary substantially during the case and corresponding control days (ie, within a month), we did not consider them as potential confounders in the analyses.<sup>24</sup>

# Statistical analysis

The correlations between air pollutant exposure levels on case days were evaluated by Spearman's correlation analyses because the distributions of air pollution were not normally distributed. We fitted conditional logistic regression models to quantitatively assess the associations of short-term exposure to ambient

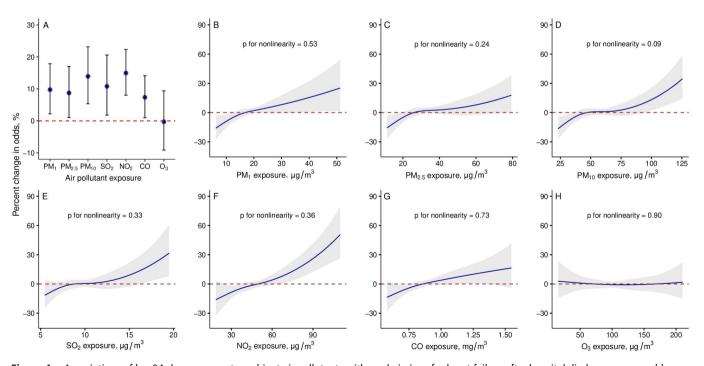


Figure 1 Associations of lag 04-day exposure to ambient air pollutants with readmissions for heart failure after hospital discharge among older patients with hypertension. (A) The percent change in odds (95% CI) of readmissions for each increase by an IQR in ambient  $PM_1$ ,  $PM_2$ ,  $PM_1$ ,  $PM_2$ ,  $PM_3$ ,  $PM_4$ , P

exposure to am	bient air pollutants in sing	exposure to ambient air pollutants in single-pollutant and two-pollutant models	tant models		:		,
Model	PM <sub>1</sub>	PM <sub>2.5</sub>	PM <sub>10</sub>	502	NO <sub>2</sub>	00	03
Single-pollutant	9.77 (2.21 to 17.89)	8.74 (1.05 to 17.00)	13.93 (5.36 to 23.20)	10.81 (1.82 to 20.60)	14.97 (8.05 to 22.34)	7.37 (0.98 to 14.16)	-0.27 (-9.10 to 9.41)
Adjusted for PM <sub>1</sub>	I	I	I	5.69 (-5.14 to 17.75)	16.28 (7.09 to 26.25)	4.17 (-2.98 to 11.86)	-5.78 (-14.82 to 4.23)*
Adjusted for PM <sub>2.5</sub>	I	I	I	7.09 (-4.88 to 20.56)	20.61 (10.10 to 32.11)	4.70 (-2.88 to 12.87)	-5.75 (-14.96 to 4.45)*
Adjusted for PM <sub>10</sub>	I	I	I	0.07 (-11.51 to 13.18)*	16.84 (5.37 to 29.57)	1.52 (-5.97 to 9.62)*	-7.65 (-16.60 to 2.25)*
Adjusted for SO <sub>2</sub>	6.64 (-2.63 to 16.80)	4.32 (-5.85 to 15.59)	13.88 (1.65 to 27.57)	I	17.22 (7.89 to 27.36)	4.83 (-2.13 to 12.28)	-4.51 (-13.49 to 5.40)*
Adjusted for NO <sub>2</sub>	-1.96 (-10.81 to 7.77)*	-7.41 (-16.85 to 3.10)*	-2.50 (-14.37 to 11.01)*	-3.90 (-14.16 to 7.58)*	ı	-1.36 (-8.54 to 6.39)*	-7.69 (-16.36 to 1.87)*
Adjusted for CO	7.14 (-1.38 to 16.40)	5.34 (-3.71 to 15.23)	12.62 (2.12 to 24.20)	7.60 (-2.13 to 18.30)	15.91 (7.36 to 25.14)	I	-1.38 (-10.16 to 8.26)*
Adjusted for O <sub>3</sub>	11.75 (3.42 to 20.76)	10.94 (2.30 to 20.31)	17.11 (7.49 to 27.58)	12.41 (2.74 to 22.98)	17.03 (9.58 to 24.99)	7.47 (1.04 to 14.30)	ı

sulfur µm; 50,, ≤2.5 μ aerodynamic diameter an with matter particulate µm; PM<sub>2.5</sub>, I aerodynamic diameter ≤10 an with matter particulate PM. m, νı aerodynamic diameter an with particulate matter PM, 'p<0.05 estimated using the likelihood ratio test carbon monoxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>,

air pollution with readmissions for HF after hospital discharge among older patients with hypertension. In main models, we included each air pollution exposure as the main effect and adjusted for two meteorological conditions at lag 03-day as natural cubic splines (including temperature with 6 df and relative humidity with 3 df) to control their possible confounding effects. The risk estimate was expressed as percent change in odds of readmissions and its 95% CI for each IQR increase of ambient air pollution exposures. The percent change in odds was calculated as  $(OR-1)\times 100\%$ . In addition, we included ambient air pollution exposures in the model as a natural cubic spline with 3 df and visualised the exposure-response relationships. The likelihood ratio test was performed to test the nonlinearity of associations. For each air pollutant, we further included each of the other air pollutants in the same model to fit two-pollutant models and compared them using the likelihood ratio test.

Based on the established associations in two-pollutant models, we further estimated the excess hospital readmissions attributable to ambient air pollution exposures by calculating the excess fraction and number of excess hospital readmissions for each air pollution which was significantly associated with an increased odds of readmissions for HF with the following formula:

Excess fraction = 
$$\frac{\sum\limits_{i=1}^{N} 1 - \frac{1}{e^{\beta \times (C_i - C_0)}}}{N} \times 100\%$$

where  $\beta$  indicates the point estimate in conditional logistic regression models; N indicates the number of readmission cases;  $C_i$  indicates the ambient air pollution exposure on case days with pollutant concentration levels exceeding  $C_0$ ;  $C_0$  indicates the minimum exposure level of each air pollutant; or the reference levels of each air pollutant according to the 2021 WHO air quality guidelines (AQGs) and the ambient air quality standards in China. The corresponding number of excess hospital readmissions was calculated as excess fraction×N.

We performed subgroup analyses to investigate possible susceptible populations by sex, age ( $\leq$ 80 years, >80 years) and season (cool season, warm season). Cool season was from May to October, while warm season was from November to April. For each subgroup, we separately implemented conditional logistic regression models to evaluate the association of ambient air pollution with readmissions. The associations across stratification variables were compared by two-sample z tests, based on the stratification-specific point estimate ( $\beta$ ) and SE<sup>26</sup>:

$$z = \frac{\beta_1 - \beta_2}{\sqrt{SE_{\beta_1}^2 + SE_{\beta_2}^2}}$$

The robustness of our results was tested by several sensitivity analyses. First, we restricted the analyses to the first HF readmission record after the index hypertension admission for each patient in any medical institution during 2016–2019 (including 3660 patients with 3660 readmission records for HF). Second, we only included patients living in Guangzhou city in the analyses. Third, we restricted the analyses to Han race cases only. We performed all statistical analyses in R software (V.4.1.2), and two-sided p values less than 0.05 were considered statistically significant.

# **RESULTS**

From 2016 to 2019, we identified 3660 subjects (6258 case days) who were admitted to hospital for HF after discharge for hypertension in Guangzhou, China. Of these, most of them were Han race, 59.9% were aged >80 years, 61.1% were female and 50.6% were admitted in cool season (table 1). The readmission rates of 30 days, 90 days, 1 year, and 3 years were

**Table 4** Excess fraction and number of excess readmissions for heart failure after hospital discharge among older patients with hypertension attributable to lag 04-day exposure to NO, in single-pollutant and two-pollutant models

	Above minimal exposu	ıre level*	Above 2021 WHO air	quality guidelines†	Above China air quality standards‡	
Variable	Excess fraction, % (95% CI)	Number of excess readmissions, N (95% CI)	Excess fraction, % (95% CI)	Number of excess readmissions, N (95% CI)	Excess fraction, % (95% CI)	Number of excess readmissions, N (95% CI)
NO <sub>2</sub>	19.86 (11.69 to 27.13)	1243 (731 to 1698)	12.87 (7.48 to 17.77)	805 (468 to 1112)	0.54 (0.31 to 0.75)	34 (20 to 47)
NO <sub>2</sub> +PM <sub>1</sub>	21.25 (10.43 to 30.50)	1330 (653 to 1909)	13.79 (6.67 to 20.09)	863 (417 to 1257)	0.58 (0.28 to 0.85)	36 (17 to 53)
NO <sub>2</sub> +PM <sub>2.5</sub>	25.53 (14.27 to 35.03)	1598 (893 to 2192)	16.68 (9.17 to 23.26)	1044 (574 to 1455)	0.70 (0.38 to 0.98)	44 (24 to 61)
NO <sub>2</sub> +PM <sub>10</sub>	21.83 (8.09 to 33.14)	1366 (506 to 2074)	14.19 (5.15 to 21.93)	888 (323 to 1372)	0.59 (0.21 to 0.93)	37 (13 to 58)
NO <sub>2</sub> +SO <sub>2</sub>	22.22 (11.49 to 31.40)	1391 (719 to 1965)	14.45 (7.35 to 20.72)	904 (460 to 1296)	0.61 (0.31 to 0.87)	38 (19 to 55)
NO <sub>2</sub> +CO	20.86 (10.79 to 29.57)	1305 (675 to 1850)	13.53 (6.90 to 19.45)	847 (432 to 1217)	0.57 (0.29 to 0.82)	36 (18 to 51)
NO <sub>2</sub> +O <sub>3</sub>	22.02 (13.62 to 29.44)	1378 (852 to 1843)	14.31 (8.75 to 19.36)	896 (547 to 1212)	0.60 (0.37 to 0.82)	8 (23 to 51)

<sup>\*</sup>Using the minimum exposure level as the reference level. The minimum exposure level of NO, was 10.0 µg/m³.

6.9% (readmission cases: 253), 17.6% (645), 47.8% (1748), and 92.8% (3397), respectively. Online supplemental figure S1 shows the spatial distribution of subjects' residential addresses in Guangdong province.

Table 2 shows that mean daily average concentrations of ambient PM<sub>1</sub>, PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub>, CO and O<sub>3</sub> were 19.5  $\mu g/m^3$ , 33.6  $\mu g/m^3$ , 57.1  $\mu g/m^3$ , 9.8  $\mu g/m^3$ , 49.5  $\mu g/m^3$ , 0.90 mg/m³ and 100.2  $\mu g/m^3$ , respectively, while the mean exposure to temperature and relative humidity was 23.6°C and 75.6%, respectively. Seasonal distribution of ambient air pollutants and meteorological conditions on the date of readmissions was presented in online supplemental table S1. Exposure levels of ambient PM<sub>1</sub>, PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub> and CO were higher in cool season, while exposure levels of O<sub>3</sub>, temperature and relative humidity were higher in warm season. Exposure to all air pollutants was positively correlated, except that O<sub>3</sub> exposure was negatively correlated with CO exposure (all pairwise p<0.05; online supplemental figure S2).

In single-pollutant models, we observed significantly positive associations between short-term exposure to ambient PM, PM, 5, PM, 5O, NO, and CO with an increased risk of readmissions (figure 1A; online supplemental figure S3). For each air pollutant, the lag 04-day exposure produced the strongest associations. Each increase by an IQR of ambient PM, PM, PM<sub>10</sub>, SO<sub>2</sub>, NO<sub>2</sub> and CO exposure was significantly associated with a 9.77% (95% CI 2.21% to 17.89%; IQR 11.6  $\mu$ g/m<sup>3</sup>), 8.74% (95% CI 1.05% to 17.00%; IQR 21.9  $\mu$ g/m<sup>3</sup>), 13.93% (95% CI 5.36% to 23.20%; IQR 35.0  $\mu$ g/m<sup>3</sup>), 10.81% (95% CI 1.82% to 20.60%; IQR 4.4  $\mu$ g/m<sup>3</sup>), 14.97% (95% CI 8.05% to 22.34%; IQR 23.3  $\mu$ g/m<sup>3</sup>), and 7.37% (95% CI 0.98% to 14.16%; IQR 0.25 mg/m<sup>3</sup>) increase in odds of readmissions, respectively (figure 1A). The exposure-response curves for associations showed that the odds of readmissions for HF increased monotonically with increasing air pollution exposure levels, suggesting that all these exposure-response associations were linear with no indication of thresholds at low exposure levels (figure 1B-H, all p for nonlinearity < 0.05). No significant associations were observed between O<sub>3</sub> exposure and HF readmissions (p>0.05; figure 1A, online supplemental figure S3).

In two-pollutant models, the association for ambient NO<sub>2</sub> exposure remained stable with further adjustment for each of the other pollutants, while the associations for ambient PM<sub>1</sub>, PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub> and CO exposures became insignificant especially when adjusted for NO<sub>2</sub> (table 3, online supplemental figure S4).

The association of  $NO_2$  exposure increased with adjustment for  $PM_{2.5}$ ,  $SO_2$ , CO and  $O_3$  simultaneously in the same model (percent change in odds: 21.27%; 95% CI 9.75% to 34.01%; online supplemental table S2).

Table 4 presents the estimates of excess fraction and number of excess readmissions due to lag 04-day exposure to NO, in Guangzhou, China in 2016–2019. Using the minimum air pollution exposure level as the reference, we estimated that 19.86% (95% CI 11.69% to 27.13%) of the readmissions were attributable to ambient NO, exposure, while the excess fractions were 21.25% (95% CI 10.43% to 30.50%), 25.53% (95% CI 14.27% to 35.03%), 21.83% (95% CI 8.09% to 33.14%), 22.22% (95% CI 11.49% to 31.40%), 20.86% (95% CI 10.79% to 29.57%) and 22.02% (95% CI 13.62% to 29.44%) for readmissions with adjustment for PM<sub>1</sub>, PM<sub>2.5</sub>, PM<sub>10</sub>, SO<sub>2</sub>, CO and O<sub>3</sub>, respectively. According to the WHO AQGs values, an estimated 12.87% (95% CI 7.48% to 17.77%) of readmissions were attributable to NO, exposure, while an estimated 0.54% (95% CI 0.31% to 0.75%) of readmissions were associated with NO<sub>2</sub> exposure based on the ambient air quality standards in China.

In subgroup analyses, no potential susceptible populations were observed across age, sex or season (all p for difference>0.05; online supplemental table S3). Restricting the analyses to the first readmission record for each patient during the study period, patients living in Guangzhou city and Han race cases showed similar results (online supplemental figures S5–S7).

# **DISCUSSION**

In this 4-year Chinese case-crossover study, we quantitatively investigated the associations of short-term exposure to ambient air pollution with readmissions for HF after hospital discharge among older patients with hypertension. We found consistent evidence that short-term exposure to ambient  $\mathrm{NO}_2$  may increase the odds of readmissions and cause considerable excess hospital readmissions. In addition, reducing  $\mathrm{NO}_2$  exposure to the 2021 WHO AQGs and the China air quality standards would avoid 12.87% and 0.54% of readmissions, respectively. No susceptible populations by age, sex or season were observed.

The acute adverse effects of ambient air pollution on HF readmissions among post-discharge patients with hypertension have been rarely investigated. To date, only one case-crossover study carried out in Italy reported that each  $10~\mu\text{g/m}^3$  increase of PM $_{10}$  exposure on lag 0-day was significantly associated with a 2.08%

<sup>†</sup>Using the 2021 WHO air quality quideline values as the reference level. The quideline value of 24-hour average NO<sub>3</sub> concentration was 25 µg/m<sup>3</sup>.

<sup>‡</sup>Using the ambient air quality standards in China as the reference level. The grade II standard of 24-hour average NO, concentration was 80 µg/m³.

CO, carbon monoxide; NO<sub>2</sub>, nitrogen dioxide; O<sub>3</sub>, ozone; PM<sub>1</sub>, particulate matter with an aerodynamic diameter ≤1 µm; PM<sub>10</sub>, particulate matter with an aerodynamic diameter ≤10 µm; PM<sub>2.5</sub>, particulate matter with an aerodynamic diameter ≤2.5 µm; SO<sub>3</sub>, sulfur dioxide.

increase in odds of HF readmissions, which was slightly higher than our estimates (1.71%). It should be noted that the association disappeared with adjustment for NO, exposure in our study, suggesting that the association between PM<sub>10</sub> exposure and HF readmissions was dependent on the NO, exposure. This is the first study to identify a consistent association between NO, exposure and HF readmissions after discharge among patients with hypertension. Two previous studies in China and the USA reported positive associations of NO<sub>2</sub> exposure with increased risks of hospitalisation for HF in population comorbid with hypertension.<sup>27 28</sup> However, it has been proposed that patients with a history of hypertensive hospitalisation usually suffered from marked elevated blood pressure, chronic or acute endorgan damages and complex cardiovascular comorbidities, and may be more likely to be readmitted for cardiovascular disease than patients with hypertension without any hypertension admissions.<sup>2</sup> <sup>29</sup> Therefore, the results of these two studies may not be directly comparable with our estimates.

As a proxy of traffic-related ambient air pollution, NO, is one of the essential contributors to the air pollution in most urban areas. Although the ambient NO<sub>2</sub> concentration has decreased under regulation in many countries, emerging evidence suggests that ambient NO, exposure can trigger numerous cardiovascular events. 30 Similarly, our study adds to the pivotal evidence that ambient NO, exposure is an independent risk factor for HF readmissions among post-discharge patients with hypertension. Additionally, unlike other risk factors, ambient NO, exposure was ubiquitous and unavoidable. Given that direct control over ambient NO, exposure is often beyond an individual's ability, our findings highlight a potential approach that individuals, clinicians and policymakers could corporately take effective interventions (eg, controlling traffic-related air pollution emissions and exposures, staying indoors) to reduce ambient NO<sub>2</sub> exposures to prevent HF readmissions for post-discharge patients with hypertension.

As in previous studies, we found that short-term exposure to air pollution was significantly associated with an increased odds of readmissions for HF on early lag days (eg, lag 0-day, 1-day) but decreased odds on the following lag days (eg, lag 5-day, 6-day), suggesting the presence of certain harvesting effects. This phenomenon refers to that air pollution can principally advance hospital admissions by a number of days or weeks among subjects with already poor health conditions, but result in less adverse effects on healthy subjects. Therefore, the initial increase in odds of hospital admissions is followed by a period with a lower risk of hospital admissions. Further studies are warranted to illustrate the potential mechanisms.

Consistent with findings in previous studies, our study suggests that women seem to be more susceptible to air pollution than men, although the differences did not reach statistical significance.<sup>33 34</sup> These known differences in biology (eg, airway size, particulate deposition and inflammatory response) and behaviour (eg, smoking) may help explain the higher admission risks in women observed in this study. \$\frac{35}{5}\$ Specifically, prior studies have proposed that women have smaller airway size as well as greater airway reactivity, which may induce more particulate matter deposition and higher immune-inflammatory reactivity than men.<sup>36 37</sup> In addition, it has been reported that the effects of air pollution may be stronger in non-smokers than that in smokers, because oxidative stress and inflammation caused by smoking may weaken additional effects of co-exposure to air pollutants in men.<sup>38</sup> Nonetheless, the exact mechanisms of these sex-specific associations remain unclear and need to be further investigated.

The biological mechanisms of readmissions for HF after discharge among patients with hypertension attributable to ambient NO<sub>2</sub> exposure are yet to be completely elucidated. One hypothesis is that inhaled NO<sub>2</sub> might induce pulmonary inflammation, traverse the alveolar space and enter the circulation system, which can trigger systemic inflammation.<sup>39</sup> In addition to inflammation, ambient air pollution (including NO<sub>2</sub>) exposure has been reported to induce acute arterial vasoconstriction, elevate blood pressure, increase plasma viscosity, and trigger atherosclerosis and thrombus formation; these effects of air pollution will significantly increase the demands on failing hearts and thereby lead to acute compensatory decompensation, especially for patients with hypertension.<sup>9 40 41</sup>

Our study has several strengths. The relatively large-scale populations provide the unique opportunity for us to conduct exposure–response analyses with a sufficient statistical power. In addition, by employing the time-stratified case-crossover design, we performed air pollutant exposure assessment for each subject in an individual level by using data from a validated high-quality ground-level air pollutant grid dataset in China. Benefiting from the relatively high spatial resolution, the personal exposure assessment in our study was more accurate than previous studies which used the mean daily air pollutant concentrations at one or more fixed monitoring stations in a city to replace individual-level exposures. 42-43

Despite these strengths, several potential limitations in our study should be mentioned. First, limited by data, we were unable to adjust for personal activity data (eg, staying indoors, personal protective measures) in the analyses, which could introduce some exposure misclassifications; however, these possible misclassifications in individual-level exposure assessment have been proposed to be nondifferential and may not induce inaccurate estimations.<sup>44</sup> Second, although we took the advantage of case-crossover design to control for the individual-level stable confounding factors and adjusted for two time-variant meteorological conditions (temperature and relative humidity) in the model, unmeasured individual-level factors (eg, pre-existing vascular risk factors, medication use, lifestyle) which may change within the time stratum (ie, a month) still possibly existed, and might confound the associations between air pollution and hospital readmissions for HF. Finally, since we conducted this study among older adults who were admitted in a single megacity in China, it should be cautious when generalising our results to other populations or regions (eg, other cities, rural areas).

In conclusion, our study provides novel evidence that exposure to ambient NO<sub>2</sub> is significantly associated with an increased odds of hospital readmissions for HF among post-discharge patients with hypertension, which may lead to substantial excess hospital readmissions in older Chinese adults. These findings highlight a potential approach to prevent HF readmissions for post-discharge patients with hypertension by reducing exposure to ambient NO<sub>2</sub>. Future investigations are needed to confirm our results and explore potential susceptible populations.

Twitter Yuewei Liu @YueweiLiu

**Contributors** RX searched the literature, conducted the data analysis, interpreted the data and drafted the manuscript. QT acquired the data and drafted the manuscript. JW acquired the data and conducted the data analysis. CS acquired the data. YL designed the study, acquired the data, directed the study's implementation, designed the analytical strategy and obtained the funding. All authors critically revised the manuscript. YL and WX share joint correspondence in this work and are responsible for the overall content as guarantors.

**Funding** This work was supported by the Health Commission of Guangdong Province (grant number B2019196), the Health Commission of Hubei Province (grant

# Original research

number WJ2019Z016) and the National Natural Science Foundation of China (grant number 81671379).

#### Disclaimer None.

**Map disclaimer** The depiction of boundaries on this map does not imply the expression of any opinion whatsoever on the part of BMJ (or any member of its group) concerning the legal status of any country, territory, jurisdiction or area or of its authorities. This map is provided without any warranty of any kind, either express or implied.

# **Competing interests** None declared.

#### Patient consent for publication Not required.

**Ethics approval** This study was approved by the Ethical Committee of School of Public Health, Sun Yat-sen University, and has conformed to the principles embodied in the Declaration of Helsinki. Because the clinical data used in this study were historically collected, and the identity information on the study subjects was confidential to all research investigators, the informed consent was waived.

**Provenance and peer review** Not commissioned; externally peer reviewed.

**Data availability statement** Data are available in a public, open access repository. The air pollution data are available at: https://weijing-rs.github.io/product. html. The clinical and meteorological data are not publicly available.

**Supplemental material** This content has been supplied by the author(s). It has not been vetted by BMJ Publishing Group Limited (BMJ) and may not have been peer-reviewed. Any opinions or recommendations discussed are solely those of the author(s) and are not endorsed by BMJ. BMJ disclaims all liability and responsibility arising from any reliance placed on the content. Where the content includes any translated material, BMJ does not warrant the accuracy and reliability of the translations (including but not limited to local regulations, clinical guidelines, terminology, drug names and drug dosages), and is not responsible for any error and/or omissions arising from translation and adaptation or otherwise.

#### ORCID iD

Yuewei Liu http://orcid.org/0000-0001-5970-4262

# **REFERENCES**

- 1 NCD Risk Factor Collaboration (NCD-RisC). Worldwide trends in hypertension prevalence and progress in treatment and control from 1990 to 2019: a pooled analysis of 1201 population-representative studies with 104 million participants. *Lancet* 2021;398:957–80.
- 2 Axon RN, Turner M, Buckley R. An update on inpatient hypertension management. Curr Cardiol Rep 2015;17:94.
- 3 Mills KT, Stefanescu A, He J. The global epidemiology of hypertension. Nat Rev Nephrol 2020;16:223–37.
- 4 Kumar N, Simek S, Garg N, et al. Thirty-day readmissions after hospitalization for hypertensive emergency. Hypertension 2019;73:60–7.
- 5 Ge J, Cui X, Hu K. Current status of heart failure in China. Cardiol Plus 2017;2.
- 6 Yin R, Yin L, Li L, et al. Hypertension in China: burdens, guidelines and policy responses: a state-of-the-art review. J Hum Hypertens 2022;36:126–34.
- 7 Giamouzis G, Kalogeropoulos A, Georgiopoulou V, et al. Hospitalization epidemic in patients with heart failure: risk factors, risk prediction, knowledge gaps, and future directions. J Card Fail 2011;17:54–75.
- 8 Boogaard H, Walker K, Cohen AJ. Air pollution: the emergence of a major global health risk factor. *Int Health* 2019;11:417–21.
- 9 Shah ASV, Langrish JP, Nair H, et al. Global association of air pollution and heart failure: a systematic review and meta-analysis. Lancet 2013;382:1039–48.
- 10 Colais P, Faustini A, Stafoggia M, et al. Particulate air pollution and hospital admissions for cardiac diseases in potentially sensitive subgroups. Epidemiology 2012;23:473–81.
- 11 Liu LS. Chinese guidelines for the management of hypertension. *Chin J Hypertens* 2010;2011:579–615.
- 12 Maclure M. The case-crossover design: a method for studying transient effects on the risk of acute events. Am J Epidemiol 1991;133:144–53.
- 13 Liu Y, Pan J, Fan C, et al. Short-term exposure to ambient air pollution and mortality from myocardial infarction. J Am Coll Cardiol 2021;77:271–81.
- 14 Liu Y, Pan J, Zhang H, et al. Short-term exposure to ambient air pollution and asthma mortality. Am J Respir Crit Care Med 2019;200:24–32.
- 15 Carracedo-Martínez E, Taracido M, Tobias A, et al. Case-crossover analysis of air pollution health effects: a systematic review of methodology and application. Environ Health Perspect 2010;118:1173–82.

- 16 Fung KY, Krewski D, Chen Y, et al. Comparison of time series and casecrossover analyses of air pollution and hospital admission data. Int J Epidemiol 2003;32:1064–70.
- 17 Wei J, Li Z, Li K, et al. Full-coverage mapping and spatiotemporal variations of ground-level ozone (O<sub>3</sub>) pollution from 2013 to 2020 across China. Remote Sens Environ 2022:270:112775.
- 18 Wei J, Li Z, Xue W, et al. The ChinaHighPM<sub>10</sub> dataset: generation, validation, and spatiotemporal variations from 2015 to 2019 across China. Environ Int 2021;146:106290.
- 19 Wei J, Li Z, Lyapustin A, et al. Reconstructing 1-km-resolution high-quality PM<sub>2.5</sub> data records from 2000 to 2018 in China: spatiotemporal variations and policy implications. Remote Sens Environ 2021;252:112136.
- 20 Wei J, Li Z, Guo J, et al. Satellite-derived 1-km-resolution PM<sub>1</sub> concentrations from 2014 to 2018 across China. Environ Sci Technol 2019;53:13265–74.
- 21 Wei J, Liu S, Li Z, et al. Ground-level NO<sub>2</sub> surveillance from space across China for high resolution using interpretable spatiotemporally weighted artificial intelligence. *Environ* Sci Technol 2022;56:9988–98.
- 22 Liu J, Shi C, Sun S, et al. Improving land surface hydrological simulations in China using CLDAS meteorological forcing data. J Meteorol Res 2019;33:1194–206.
- 23 Zhou Y, Meng T, Wu L, et al. Association between ambient temperature and semen quality: a longitudinal study of 10 802 men in China. Environ Int 2020;135:105364.
- 24 Janes H, Sheppard L, Lumley T. Case-crossover analyses of air pollution exposure data: referent selection strategies and their implications for bias. *Epidemiology* 2005;16:717–26.
- 25 Xu R, Wang Q, Wei J, et al. Association of short-term exposure to ambient air pollution with mortality from ischemic and hemorrhagic stroke. Eur J Neurol 2022;29:1994–2005.
- 26 Altman DG, Bland JM. Interaction revisited: the difference between two estimates. BMJ 2003;326:219.
- 27 Lee I-M, Tsai S-S, Ho C-K, et al. Air pollution and hospital admissions for congestive heart failure: are there potentially sensitive groups? Environ Res 2008;108:348–53.
- 28 Wellenius GA, Bateson TF, Mittleman MA, et al. Particulate air pollution and the rate of hospitalization for congestive heart failure among medicare beneficiaries in Pittsburgh, Pennsylvania. Am J Epidemiol 2005;161:1030–6.
- 29 Walker RL, Chen G, McAlister FA, et al. Hospitalization for uncomplicated hypertension: an ambulatory care sensitive condition. Can J Cardiol 2013;29:1462–9.
- 30 Bosson JA, Mudway IS, Sandström T. Traffic-related air pollution, health, and allergy: the role of nitrogen dioxide. *Am J Respir Crit Care Med* 2019;200:523–4.
- 31 Schwartz J. Is there harvesting in the association of airborne particles with daily deaths and hospital admissions? *Epidemiology* 2001;12:55–61.
- 32 Zanobetti A, Schwartz J, Samoli E, et al. The temporal pattern of mortality responses to air pollution: a multicity assessment of mortality displacement. Epidemiology 2002;13:87–93.
- 33 Liu H, Tian Y, Song J, et al. Effect of ambient air pollution on hospitalization for heart failure in 26 of China's largest cities. Am J Cardiol 2018;121:628–33.
- 34 Li M, Wu Y, Tian Y-H, et al. Association between PM<sub>2.5</sub> and daily hospital admissions for heart failure: a time-series analysis in Beijing. Int J Environ Res Public Health 2018:15:2217.
- 35 Clougherty JE. A growing role for gender analysis in air pollution epidemiology. Cien Saude Colet 2011;16:2221–38.
- 36 Kim CS, Hu SC. Regional deposition of inhaled particles in human lungs: comparison between men and women. *J Appl Physiol* 1998;84:1834–44.
- 37 Chrousos GP. Stress and sex versus immunity and inflammation. Sci Signal 2010;3:pe36.
- 38 Künzli N, Jerrett M, Mack WJ, et al. Ambient air pollution and atherosclerosis in Los Angeles. *Environ Health Perspect* 2005;113:201–6.
- 39 Rückerl R, Hampel R, Breitner S, et al. Associations between ambient air pollution and blood markers of inflammation and coagulation/fibrinolysis in susceptible populations. Environ Int 2014;70:32–49.
- 40 Giorgini P, Di Giosia P, Grassi D, et al. Air pollution exposure and blood pressure: an updated review of the literature. Curr Pharm Des 2016;22:28–51.
- 41 Franchini M, Mannucci PM. Thrombogenicity and cardiovascular effects of ambient air pollution. *Blood* 2011;118:2405–12.
- 42 Liu C, Chen R, Sera F, et al. Ambient particulate air pollution and daily mortality in 652 cities. N Enal J Med 2019;381:705–15.
- 43 Tian Y, Liu H, Wu Y, et al. Association between ambient fine particulate pollution and hospital admissions for cause specific cardiovascular disease: time series study in 184 major Chinese cities. BMJ 2019;367:l6572.
- 44 Whitcomb BW, Naimi Al. Things don't always go as expected: the example of nondifferential misclassification of exposure-bias and error. Am J Epidemiol 2020;189:365–8.