



# Interannual variations in ozone pollution with a dipole structure over Eastern China associated with springtime thermal forcing over the Tibetan Plateau

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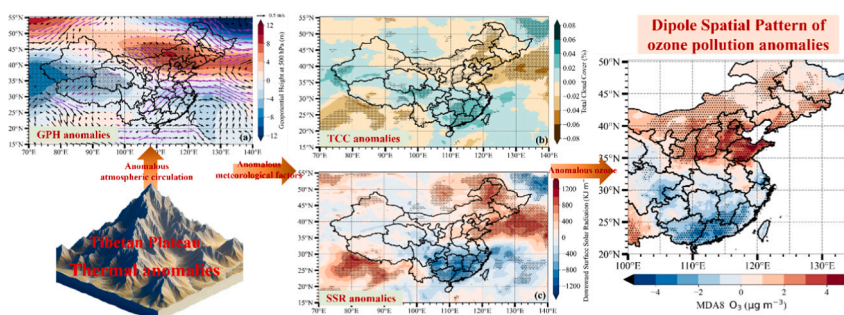
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## HIGHLIGHTS

- The TP thermal forcing modulates the interannual variations in O<sub>3</sub> pollution over EC.
- Strong TP forcing worsens and reduces O<sub>3</sub> pollution in the northern and southern EC.
- The mechanism of TP thermal forcing effect on O<sub>3</sub> pollution change in EC is revealed.

## GRAPHICAL ABSTRACT



## ARTICLE INFO

Editor: Anastasia Paschalidou

### Keywords:

Thermal anomalies  
Ozone change  
Spatial pattern  
Climate variability

## ABSTRACT

The Tibetan Plateau (TP) is essential in modulating climate change in downstream Eastern China (EC). As a meteorology-sensitive pollutant, changes in ozone (O<sub>3</sub>) in connection with the TP have received limited attention. In this study, using climate analysis of the China High Air Pollutants O<sub>3</sub> product and ERA5 reanalysis data of meteorology for 1980–2020, the effect of springtime TP thermal forcing on the warm season (April–September) O<sub>3</sub> pollution over EC was investigated. The strong TP thermal effect significantly modulates the interannual variations in O<sub>3</sub> pollution with a dipole pattern over EC, inducing more O<sub>3</sub> pollution in northern EC regions and alleviating O<sub>3</sub> pollution in the southern regions. In northern (southern) EC, strong TP thermal forcing triggers a significant anomalous high (low) pressure center accompanied by anticyclonic (cyclonic) anomalies, resulting in decreased (increased) total cloud cover, increased (reduced) surface downward solar radiation and air temperature, which are conducive to the anomalous increase (decrease) in surface O<sub>3</sub> concentrations. Moreover, the key sources of springtime thermal forcing over the TP influence the major O<sub>3</sub> pollution regions over southern and

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<https://doi.org/10.1016/j.scitotenv.2024.171527>

Received 28 December 2023; Received in revised form 2 March 2024; Accepted 4 March 2024

Available online 5 March 2024

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northern EC with an inverse pattern, depending on their locations and orientations to the large topography of the TP. This research reveals an important driving factor for the dipole interannual variation in O<sub>3</sub> pollution over EC, providing a new prospect for the effect of the TP on atmospheric environmental change.

## 1. Introduction

Tropospheric ozone (O<sub>3</sub>) has attracted considerable attention and research interest worldwide (Wang et al., 2022) as a strong oxidant detrimental to human health (Nuvolone et al., 2018; Wang et al., 2021a), crop growth (Feng et al., 2015), and ecosystems (Yue et al., 2017). Although it has been widely confirmed that tropospheric O<sub>3</sub> is produced via the photochemical oxidation of volatile organic compounds and nitrogen oxides (Sillman, 2003), understanding the spatio-temporal variations in O<sub>3</sub> remains challenging because of its abundant precursor sources, complex reaction mechanisms, and numerous meteorological factors (Li et al., 2019a).

Although anthropogenic emissions of O<sub>3</sub> precursors are the primary drivers of O<sub>3</sub> increases in China (Ma et al., 2023), meteorological conditions are also crucial in O<sub>3</sub> changes, with a contribution rate of 43 % in Eastern China (EC) (Han et al., 2020). Meteorological conditions affect the surface O<sub>3</sub> via various pathways (Jacob and Winner, 2009; Lin et al., 2008; Shen et al., 2016). For example, strong solar radiation can accelerate the atmospheric photochemical production of O<sub>3</sub> (Lu et al., 2019a; Ma et al., 2019), high air temperatures can enhance the chemical formation rates of O<sub>3</sub> (Lee et al., 2014), and suppressed planetary boundary layer height can restrain upward movement thereby reducing surface O<sub>3</sub> concentrations (Haman et al., 2014). Meteorological conditions impose a composite effect on O<sub>3</sub> pollution and are modulated by various external climatic forces, including that of the Tibetan Plateau (TP).

With an average elevation of >4000 m and occupying one-fourth of the total land area of China, the large topography of the TP significantly affects the weather and climate in East Asia (Wu et al., 2007). In spring and summer, the “elevated air pump” effect of the TP (Wu et al., 2018) generates a large-scale heat source over the TP, regulating the East Asian summer monsoon and the meteorological conditions in EC (Ma et al., 2017; Wu et al., 2012a, 2012b). In terms of the climate scale, interannual variations in TP thermal forcing have led to regional climate change patterns in China. In the years with the positive anomalies of TP thermal forcing, the summer monsoon precipitation is spatially characterized by the anomalous pattern of “north wet–south dry” over EC, with the reverse precipitation change pattern in the years of the negative anomalies of the TP thermal forcing (Xu et al., 2013). The interannual increase in diabatic heating in the TP can strengthen the upper-tropospheric South Asia high and downstream subtropical high, thus modulating meteorological conditions in downstream regions (Bao et al., 2008). Consequently, through the impact on meteorological conditions, interannual variations in air pollution over EC are inevitably affected by TP thermal forcing. Thermal anomalies over the TP lead to frequent haze events in EC by intensifying downward air flows and increasing local atmospheric stability (Xu et al., 2016). Anomalous high springtime TP thermal forcing is conducive to reducing O<sub>3</sub> concentrations in central China through anomalous transport under favorable weather conditions (Wang et al., 2021b).

Many studies have confirmed that TP thermal forcing has a lag effect on circulation and weather conditions in China (Duan et al., 2011; Duan and Wu, 2005; Ge et al., 2019; Wang et al., 2014; Zhao et al., 2007). For instance, the springtime TP thermal forcing can influence summer precipitation over EC through atmospheric circulation patterns (Liu et al., 2007), water vapor transportation (Xu et al., 2013), the western North Pacific subtropical high (Zhang et al., 2018), and land-sea thermal contrast (Han et al., 2024), altering the meteorological elements for O<sub>3</sub> pollution in the downstream EC. However, the relationship between the TP thermal effect and regional O<sub>3</sub> pollution in EC in the interannual

variations remains unclear, particularly with the impact of springtime TP thermal forcing on the subsequent warm season O<sub>3</sub> pollution in EC.

In this study, the impact of interannual springtime TP thermal forcing on O<sub>3</sub> pollution in EC was investigated using a long-term O<sub>3</sub> dataset and meteorological reanalysis data. The aim of this study was to extend the environmental influence of the TP forcing on O<sub>3</sub> pollution over downstream regions for a comprehensive understanding of environmental changes in China.

## 2. Data and methodology

### 2.1. Data

The monthly maximum daily average 8 h (MDA8) O<sub>3</sub> product with full coverage across China from 1980 to 2020 at a horizontal resolution of 0.1° × 0.1° was obtained from the China High Air Pollutants (CHAP) dataset (<https://weijing-rs.github.io/product.html>). This dataset was generated from core input predictors (i.e., downwelling surface solar radiation and surface air temperature), together with other big data including observations, satellites, and models, by employing a space-time extremely randomized trees machine learning model. The out-of-sample 10-fold cross-validation method and main statistical metrics between the CHAP data and the available field observations over China were used to evaluate the CHAP data accuracy by one of our authors, Wei Jing (Wei et al., 2022). The CHAP dataset exhibits good performance in the root-mean-square error (RMSE) of 17.10 μg m<sup>-3</sup>, mean absolute error (MAE) of 11.29 μg m<sup>-3</sup>, and mean relative error (MRE) values of 18.38 % in the daily validation over the entire domain (Wei et al., 2022), which would not influence the reasonable analysis on climatic change (He et al., 2022). Therefore, the CHAP data with reasonable overall accuracy was utilized in this climatic study on interannual variations in O<sub>3</sub> pollution for 1980–2020 over Eastern China with the effects of the Tibetan Plateau thermal forcing.

The monthly meteorological data with a spatial resolution of 0.25° × 0.25° were derived from the ERA5 (Hersbach et al., 2020) reanalysis dataset (<https://www.ecmwf.int/en/forecasts/datasets/reanalysis-datasets/era5/>), including 850 hPa wind fields, temperature at 2 m (T2), geopotential height at 500 hPa (Z500), downward surface solar radiation (SSR), and total cloud cover (TCC).

### 2.2. The heat source over the TP

The atmospheric heat source (Q1) is a physical quantity that reflects the heat balance of an air column. For a given area, the Q1 is defined as the heat gained (lost) by the air column over a period of time, which is a combination of sensible heat, latent heat of condensation, and atmospheric radiation. Following the inverse algorithm of Yanai et al. (1973, 1992), the Q1 was calculated based on the ERA5 reanalysis data:

$$Q_1 = c_p \left[ \frac{\partial T}{\partial t} + V \cdot \nabla T + \left( \frac{p}{p_0} \right)^k \omega \frac{\partial \theta}{\partial p} \right] \quad (1)$$

where T and θ are the temperature and potential temperature, respectively; ω is the vertical velocity; k = R/C<sub>p</sub>, where R and C<sub>p</sub> are the dry air gas constant and specific heat at constant pressure, respectively; V is the horizontal wind vector; and P<sub>0</sub> is 1000 hPa. The three terms to the right of the equation are the local variation, horizontal advection, and vertical transport terms.

The vertical integral value of Q1 can be expressed as:

$$(Q_1) = \frac{1}{g} \int_{p_t}^{p_s} Q_1 dp \quad (2)$$

where  $P_s$  and  $P_t$  denote the surface pressure and atmospheric top pressure (200 hPa), respectively, and  $g$  is gravitational acceleration. The vertical integral of  $Q_1$  represents the atmospheric thermal conditions over a certain region, with positive values of  $Q_1$  denoting heating and negative values denoting cooling. The regionally averaged  $Q_1$  over the TP area of 78–103°E and 28–38°N, covering most of the region with an altitude >3000 m (Xu et al., 2016), represents the thermal forcing of the TP (TP- $Q_1$ ).

### 2.3. Wavelet analysis

Morlet wavelet analysis is a common tool for analyzing long-term changes in variables, and is spreading to studies of interannual changes in climate factors (Ancil and Coulibaly, 2004; Coulibaly et al., 2000). It is used for frequency- and time-domain analyses of nonstationary time series that cannot be analyzed using standard methods (Sifuzzaman et al., 2009). The Morlet wavelet primarily uses the localization characteristics to identify various change cycles hidden in the time series and fully reflects the changing trend of a variable at different time scales (Tang et al., 2023). The calculation method for the Morlet wavelet is detailed in Torrence and Compo (1998). In this study, wavelet analysis was used to identify the interannual change circles of springtime TP- $Q_1$ .

### 2.4. Butterworth filter

After the wavelet analysis, the Butterworth filter was applied to extract the 1–4-year signal of springtime TP- $Q_1$ , following the method of Gouirand et al. (2012). The Butterworth filter is a type of signal-processing filter designed to have a smooth and monotonic decrease in amplitude as the frequency increases without oscillations. It can be implemented as a low-, high-, and band-pass filter, depending on the desired frequency range. Since it was first described in 1930 by Stephen Butterworth (Butterworth, 1930), it has been widely used in meteorology and climate analyses (Gouirand et al., 2012; Yang et al., 2021; You and Liang, 2017), because it can filter out unwanted frequencies while preserving the original signal shape.

### 2.5. Empirical orthogonal function (EOF)

EOF analysis has been widely used in meteorological studies to analyze the spatial and temporal variability of physical fields (Hannachi et al., 2007). It decomposes a spatial-temporal dataset into space eigenvectors (EOFs) and corresponding time coefficients (PCs), which can identify the spatial modes of the variables and the amplitude of each

EOF mode over time, respectively. In this study, the EOF method was used to identify the main patterns of interannual MDA8  $O_3$  variations to determine the relationship between changes in springtime TP thermal forcing and EOF modes.

## 3. Results and discussion

### 3.1. Spatial distribution and seasonality of $O_3$ pollution

The EC region (100–135°E, 20–50°N), with >70 % of the Chinese population, experiences the most frequent occurrence of  $O_3$  pollution in China (Wang et al., 2022). From north to south, there are six densely populated subregions in EC (1–6 in Fig. 1a): northeast China (NEC; 120–126°E, 40–45°N), the North China Plain (NCP; 114–120°E, 34–42°N), the Sichuan Basin (SB; 103–108°E, 28–32.5°N), the Central China (CC; 112–116°E, 29–32.5°N), the Yangtze River Delta (YRD; 118–122.5°E, 29–33°N), and the Pearl River Delta (PRD; 112–116°E, 22–25°N).

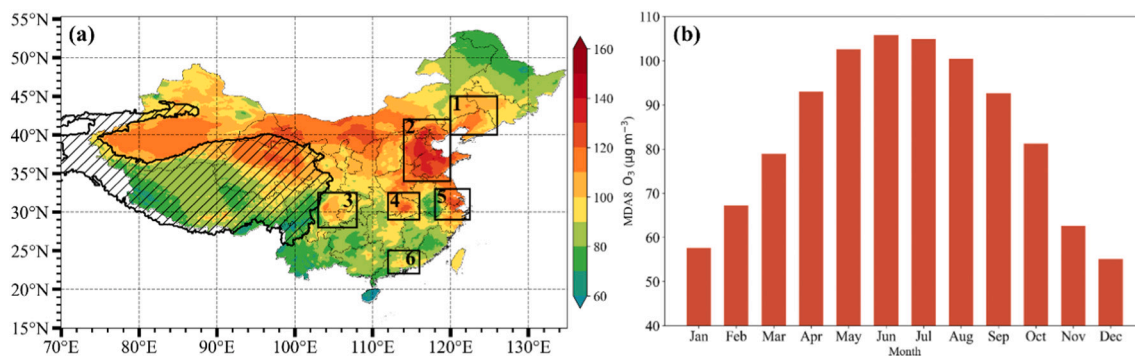
Examination of monthly MDA8  $O_3$  concentrations averaged from 1980 to 2020 over EC indicated that  $O_3$  pollution (characterized by MDA8  $O_3$  concentrations) in EC oscillates seasonally between the peak in summer and the valley in winter (Fig. 1b). High MDA8  $O_3$  concentrations mainly occur in the warm season from April to September, including three months with MDA8  $O_3$  concentrations >100  $\mu\text{g m}^{-3}$  (May, June, and July). Meanwhile, other months (October to March) with relatively slight  $O_3$  pollution have MDA8  $O_3$  concentrations <80  $\mu\text{g m}^{-3}$ , with December recording the lowest value. Therefore, our research focused on  $O_3$  pollution during the warm season.

The climatic spatial pattern of  $O_3$  pollution for the warm seasons of 1980–2020 (Fig. 1a) reveals that the MDA8  $O_3$  concentrations in most EC areas exceed 100  $\mu\text{g m}^{-3}$ . The six densely populated urban agglomerations were regions with relatively serious  $O_3$  pollution. Among these, the NCP suffers the most serious  $O_3$  pollution with a regional averaged MDA8  $O_3$  concentration of 121.50  $\mu\text{g m}^{-3}$  (Table 1), followed by NEC, the YRD, and CC (~104  $\mu\text{g m}^{-3}$ ), and the SB and PRD (~90  $\mu\text{g m}^{-3}$ ). Severe  $O_3$  pollution in the six subregions has been a research hotspot for several years; however, few studies have revealed its relationship with the TP thermal effect, which is the focus of the present study.

### 3.2. Interannual variations in $O_3$ pollution

The trend and change rate (CR) were calculated to identify the characteristics of interannual variations in  $O_3$  pollution. The CR was obtained by dividing the trends with averaged MDA8  $O_3$  concentrations over 41 years, which is more responsive to the relative change characteristic of pollutants in a given region (Sun et al., 2023).

The spatial distribution of the CR indicates that  $O_3$  pollution in EC has become increasingly serious over the past 41 years, with positive CR



**Fig. 1.** (a) Distribution of MDA8  $O_3$  concentrations over the mainland of China averaged for the warm seasons of 1980–2020 (color shading). The TP is marked with oblique lines. Black boxes with numbers 1, 2, 3, 4, 5, and 6 denote the NEC, NCP, SB, CC, YRD, and PRD regions, respectively. (b) Monthly variation in MDA8  $O_3$  concentrations ( $\mu\text{g m}^{-3}$ ) over EC averaged from 1980 to 2020.



**Table 1**

Averages, linear trends, and change rates (trends/averages\*100 %) in interannual variations in regional averaged MDA8 O<sub>3</sub> concentrations over the NEC, NCP, SB, CC, YRD, and NEC regions for the warm seasons of 1980–2020.

Regions	Averages ( $\mu\text{g m}^{-3}$ )	Trends ( $\mu\text{g m}^{-3} \text{y}^{-1}$ )	Change rates (% $\text{y}^{-1}$ )
NEC	104.54	0.18	0.17
NCP	121.50	0.23	0.19
YRD	104.25	0.17	0.16
CC	103.66	0.26	0.25
SB	93.81	0.23	0.25
PRD	87.30	0.26	0.30

values in most EC areas (Fig. 2). The high CR values were primarily situated in central and southern EC, a pattern that contrasts with the spatial distribution of averaged MDA8 O<sub>3</sub> concentrations (Fig. 1a), indicating that attention should be given to the areas characterized by low concentrations of pollutants that are exhibiting high growth rates.

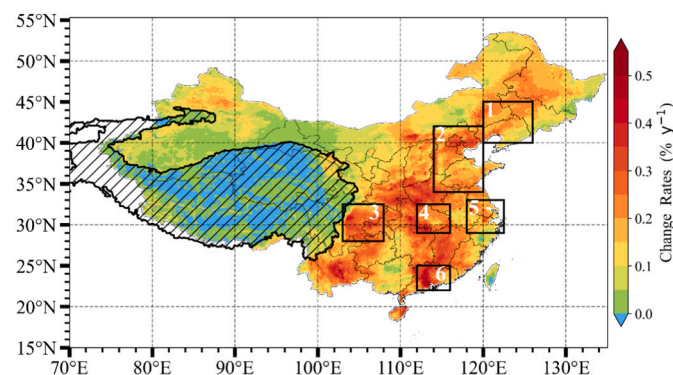
The regional averaged MDA8 O<sub>3</sub> concentrations exhibited a significant increasing trend at the 95 % confidence level (Fig. S1) for the six subregions, with the highest trend in the PRD and CC of 0.26  $\mu\text{g m}^{-3} \text{yr}^{-1}$ , followed by SB and NCP, and the YRD and NEC (Table 1). Although the trend in the NCP ranked second among the six regions, in view of having the most serious O<sub>3</sub> pollution, its CR was relatively low. The trend and CR in the PRD were both highest among the six regions, despite the regional averaged MDA8 O<sub>3</sub> concentration in the PRD being the lowest among the EC regions, its high growth rate also warrants attention. Notably, the regional averaged MDA8 O<sub>3</sub> concentrations in all six regions increased rapidly after 2015 (Fig. S1). The combined effect of decreasing NO<sub>x</sub> emissions and PM<sub>2.5</sub> concentrations (Li et al., 2019b; Wang et al., 2020) and favorable meteorological variations (Li et al., 2020; Wei et al., 2022) contributed to the significant increase after the implementation of Clean Air Action initiated by the Chinese government in 2014.

In conclusion, there were significant differences in the spatiotemporal variations in O<sub>3</sub> pollution in the EC regions. As EC is the “susceptible region” of atmospheric environmental change to the large topography of the TP (Xu et al., 2016), the severe O<sub>3</sub> pollution in northern EC and the high growth rate in the south may both be affected by the TP thermal effect, which is explored in the next sections.

### 3.3. Identifying the TP springtime thermal effect on EC O<sub>3</sub> pollution

#### 3.3.1. Patterns of TP thermal effect on O<sub>3</sub> pollution changes over EC

According to the Morlet wavelet analysis, the interannual change circles of springtime TP-Q1 were identified. Fig. 3a shows the primary change period (left axis) of TP-Q1 from 1980 to 2020 (bottom axis).



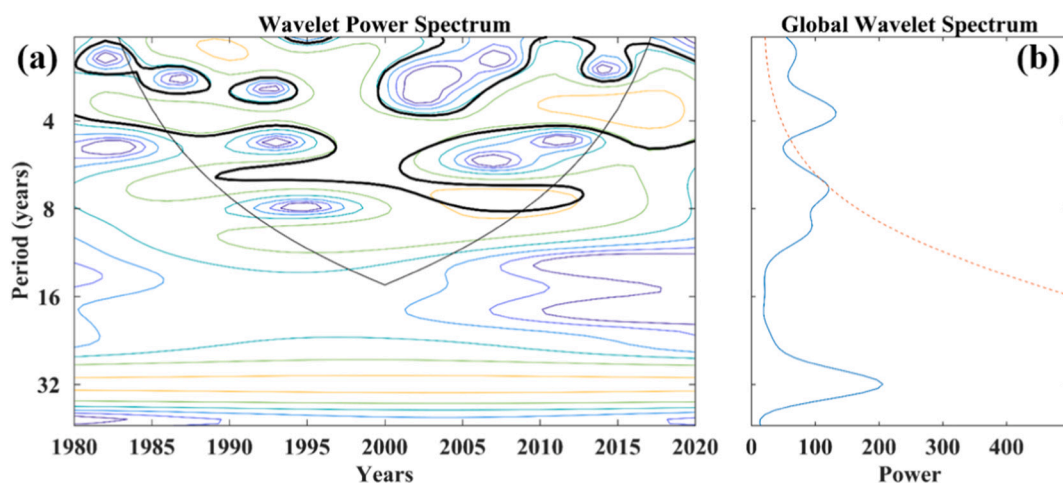
**Fig. 2.** Distribution of the interannual change rates (trends/averages\*100 %,  $\% \text{yr}^{-1}$ ) in MDA8 O<sub>3</sub> concentrations over the mainland of China for the warm seasons of 1980–2020. The TP is marked with oblique lines. Black boxes with numbers 1, 2, 3, 4, 5, and 6 denote the NEC, NCP, SB, CC, YRD, and PRD regions, respectively.

According to the powers with a 95 % significance level (bold black contours), the 2–3-year change circle mainly appeared between 1985 and 1995, the 1–3-year change circle mainly appeared after 2000, and the 4-year period was identified between 1980 and 2020 except the years around 2000. Consequently, the 1–4-year large powers dominated from 1980 to 2020, passing the 95 % significance level. The global wavelet spectrum (blue solid line in Fig. 3b) reflects the change period of TP-Q1 from the perspective of all 41 years, which also has an obvious power peak in a 4-year period at a 95 % significance level. Both spectra revealed a substantial change signal of Q1 below 4 years, indicating that the high-frequency (1–4 years) variation in springtime TP-Q1 dominated the change characteristics.

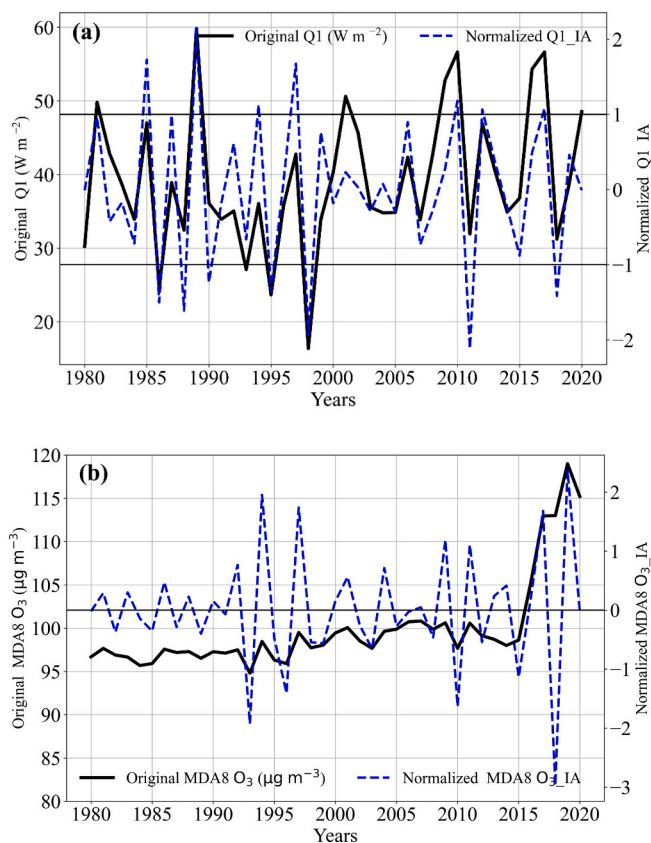
To clarify how the dominant interannual anomalies of TP thermal forcing affect O<sub>3</sub> pollution over EC, the 1–4-year signal of springtime TP-Q1 (Q1\_IA) was obtained using a Butterworth filter (Gouirand et al., 2012). As shown in Fig. 4a, the normalized Q1\_IA variation pattern was generally consistent with the original TP-Q1 change over the 41 springs, showing more pronounced interannual variations as the wavelet power spectra suggested a dominant contribution of the high-frequency (1–4 years) variations to the TP-Q1 changes during 1980–2020. Considering that all variables should have a consistent interannual signal (Sun and Guan, 2021; Zhu et al., 2021), the same filtering method was applied to MDA8 O<sub>3</sub> (Fig. 4b) and related meteorological factors. Kou et al. (2023) indicated that global warming is conducive to more frequent O<sub>3</sub> pollution on a climate scale. Wang et al. (2021b) revealed that the surface O<sub>3</sub> long-term trend over entire China during 1950–2019 was mainly contributed by anthropogenic emissions. As a result, the 1–4-year filter can basically remove the impact of global warming and anthropogenic emissions, which dominate the filtered-out variation components in O<sub>3</sub> pollution in the long term beyond 1–4 years. Thus, after data pre-processing, high-frequency variations in Q1 and MDA8 O<sub>3</sub> are discussed in detail in the following sections. Hereafter, the variations in Q1 over the TP and MDA8 O<sub>3</sub> over the EC are referred to as Q1\_IA and MDA8 O<sub>3</sub> IA, respectively.

To determine the relationship between the TP thermal effect and O<sub>3</sub> pollution, a composite analysis was utilized to explore the spatial patterns of O<sub>3</sub> pollution between the strong and weak Q1 years. Based on the normalized Q1\_IA (Fig. 4a), years with a Q1\_IA greater and less than one standard deviation were selected as strong and weak Q1 years, respectively. Thus, seven strong years (1985, 1989, 1994, 1997, 2010, 2012, and 2017) and seven weak years (1986, 1988, 1990, 1995, 1998, 2011, and 2018) were identified. The composite anomalies of MDA8 O<sub>3</sub> IA during strong Q1 years (Fig. 5a) showed an obvious dipole spatial pattern with positive anomalies in northern EC but negative anomalies in southern EC, whereas the composite anomalies of MDA8 O<sub>3</sub> IA during weak Q1 years (Fig. 5b) showed an opposite distribution. The difference between the strong and weak Q1 years (strong - weak) had a more prominent spatial dipole pattern (Fig. 5c), with higher and lower anomalous values, respectively, and larger areas passing the significance test. During strong Q1 years, the focal point of positive anomalies ( $>3 \mu\text{g m}^{-3}$ ) was primarily situated within the NCP, an area noted for the most severe O<sub>3</sub> pollution among EC regions (Fig. 2b), indicating the necessity for implementing stricter measures in the NCP to alleviate the O<sub>3</sub> pollution under strong TP thermal forcing.

Further analysis on the distributions of correlation coefficients (R) of MDA8 O<sub>3</sub> IA to Q1\_IA (Fig. 5d) also confirmed that springtime TP thermal forcing led to a dipole spatial distribution; the strong thermal forcing brought more O<sub>3</sub> pollution to the northern EC regions but alleviated the O<sub>3</sub> pollution in southern regions. Regarding the SB, CC, and YRD regions situated in the Yangtze River basin around 30°N, identified as the transitional zone displaying positive and negative R values, it appears that these regions are less influenced by the thermal anomalies of the TP or different TP areas have opposite effects on these regions, which will be explored in a later section.



**Fig. 3.** (a) Wavelet power spectrum of springtime TP-Q1 over 41 years using the Morlet wavelet analysis. The contours indicate wavelet powers in which the bold black contour lines enclose peaks of  $>95\%$  confidence. (b) The global wavelet spectrum for the interannual variation in springtime TP-Q1. The red dashed line indicates the red noise with a  $95\%$  confidence level.



**Fig. 4.** Interannual variations in (a) springtime TP-Q1 with the filtered and normalized interannual component (Q1\_IA), (b) MDA8 O<sub>3</sub> concentrations averaged over EC for the warm seasons of 1980–2020 with its filtered and normalized interannual component (MDA8 O<sub>3</sub> IA).

### 3.3.2. The EOF pattern of O<sub>3</sub> pollution variations associated with TP-Q1

EOF analysis was performed on MDA8 O<sub>3</sub> IA over the EC regions to further identify the dominant patterns of interannual spatiotemporal variations in O<sub>3</sub> pollution and their relationship with the springtime TP thermal effect. The variance contributions of the first two leading modes were 29.25% and 19.73%, passing the North significance test, and the two modes were significantly separated. The spatial patterns of the first

EOF were identified as a uniform change in most EC regions (Fig. S2), whereas those of EOF2 showed a dipole feature with negative values in the southern regions but positive values in the northern regions, both of which exhibited significant interannual fluctuations in PC values (Fig. 6).

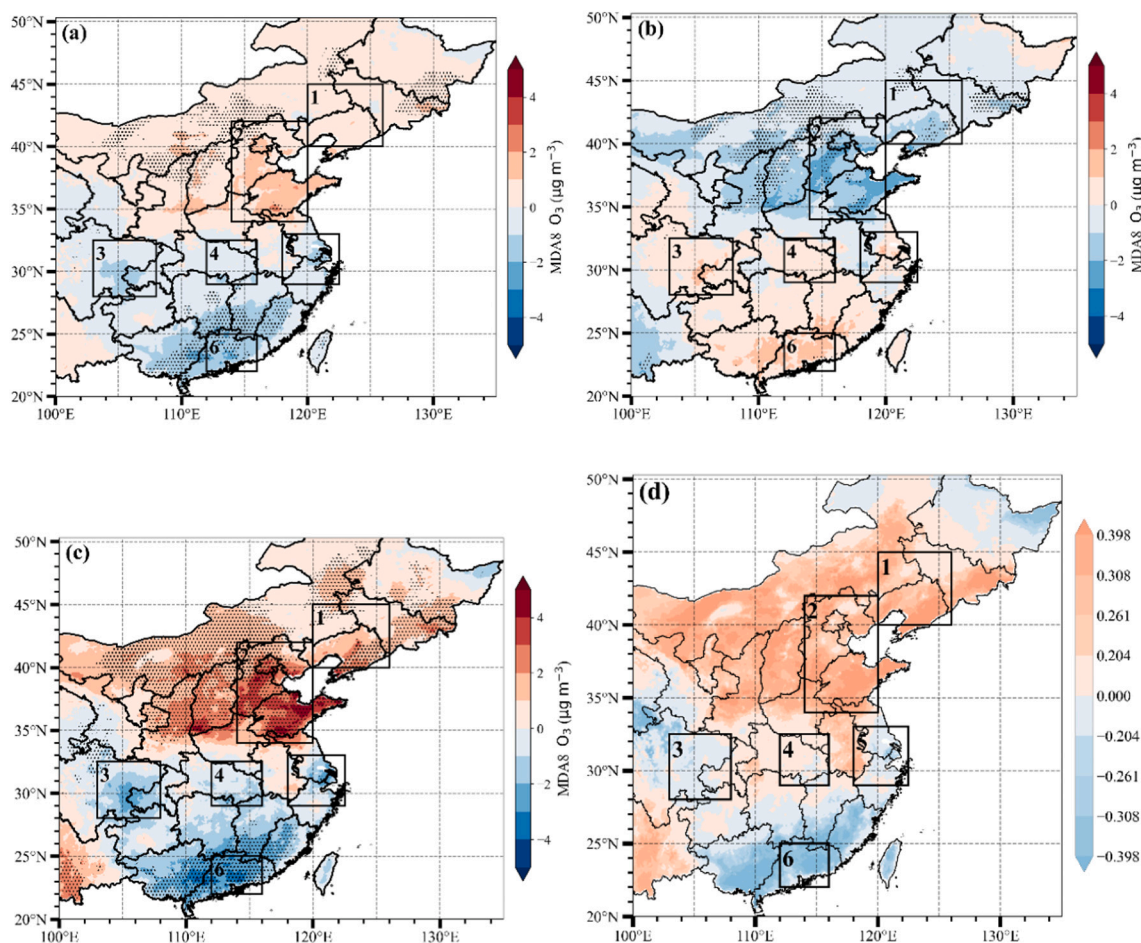
Various complex climatic factors may contribute to the EOF pattern of O<sub>3</sub> pollution (Gao et al., 2023; Ma and Yin, 2021), while few studies have demonstrated their connection to TP thermal forcing. Correlation analysis between springtime Q1\_IA and PC1 (PC2) showed that the R-value between Q1\_IA and PC1 was 0.21, which failed the significance test. However, the R-value between Q1\_IA and PC2 reached 0.52, passing the 99% significance level. The close relationship between Q1\_IA (black dashed line in PC2) and PC2 further confirms that TP thermal forcing may modulate the dipole distribution of O<sub>3</sub> pollution in the EC regions; strong and weak springtime TP thermal forcing leads to more and less O<sub>3</sub> pollution in the northern and southern EC regions, respectively.

### 3.3.3. Key TP heat sources to regional O<sub>3</sub> pollution

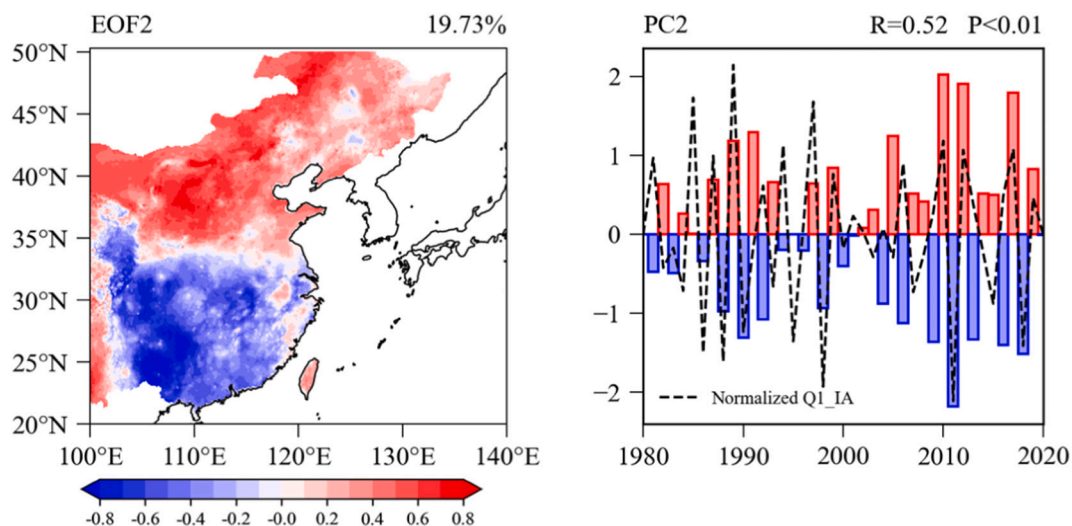
As an extensive region occupying 25% of the total land area of China, the TP has complex weather and environmental conditions, and heat sources over the TP also have spatial heterogeneity. Because springtime TP thermal forcing substantially impacts O<sub>3</sub> pollution in EC, identifying the key heat sources over the TP for different EC subregions is helpful for intensive studies on the connection between Q1 over a specific TP area and severe O<sub>3</sub> pollution in a certain region.

The R values of the warm season averaged MDA8 O<sub>3</sub> IA over the SB, CC, YRD, NEC, NCP, and PRD regions with the prior springtime Q1\_IA in the same year over the TP were calculated to present respectively their spatial patterns of thermal forcing anomalies over the TP (Fig. 7b–g). Located zonally downstream of the TP, the key sources of thermal forcing over the TP for the SB (Fig. 7b), CC (Fig. 7c), and YRD (Fig. 7d) present the general patterns of “positive-negative-positive” staggered distribution from west to east. The dominant negative correlations were centered over the central and eastern TP (Fig. 7b–d), demonstrating the key sources of thermal forcing with springtime negative anomalies leading to warm season more O<sub>3</sub> pollution over the zonally downstream of the TP. Differently, the interannual changes of O<sub>3</sub> pollution in the NEC (Fig. 7e), NCP (Fig. 7f), and PRD (Fig. 7g), located in areas affected by the detouring air flow of westerlies generated by the large topography of the TP, present distinct source distributions of thermal forcing anomalies. For the NEC and NCP, there were positive correlations covering from west to east in the TP, and the key sources for the NCP were more prominent in the eastern TP, indicating springtime positive anomalies





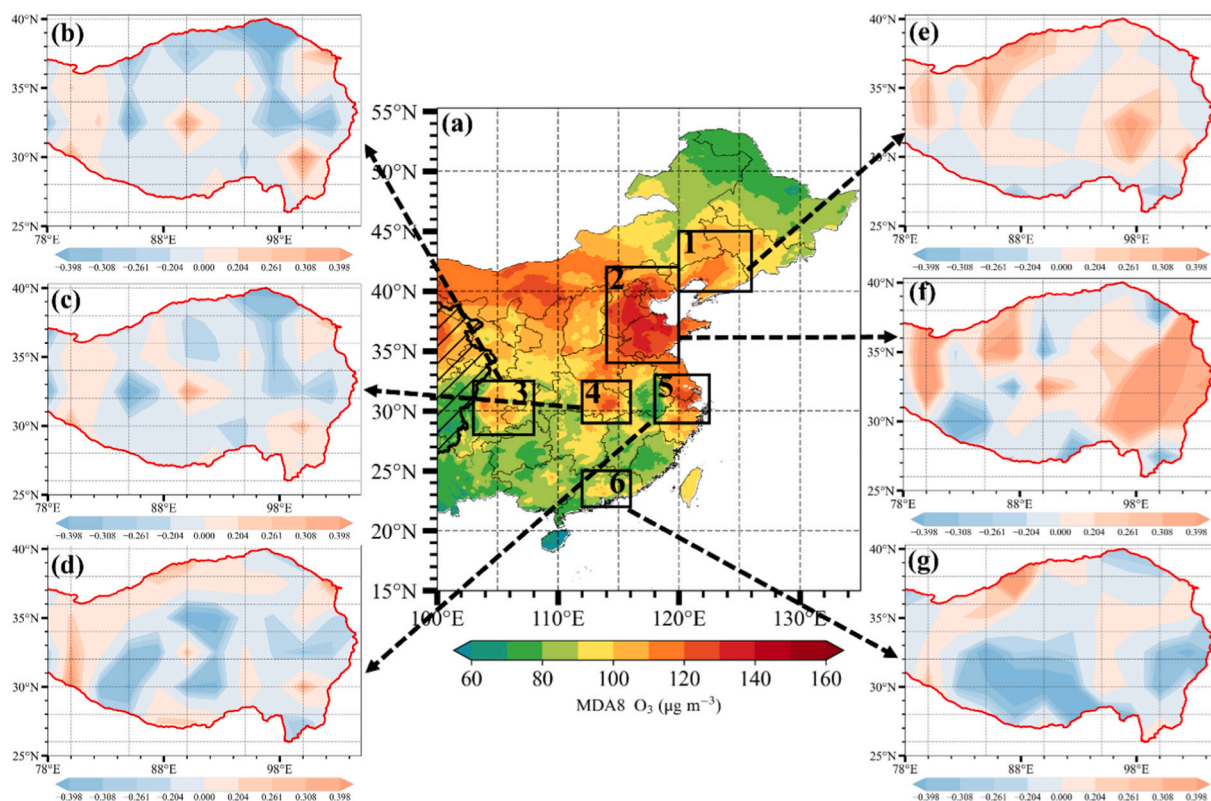
**Fig. 5.** Composite anomalies of MDA8 O<sub>3</sub> IA ( $\mu\text{g m}^{-3}$ ) during (a) strong Q1 years, (b) weak Q1 years, and (c) their differences. Black dots indicate that the anomalies are statistically significant at the 95 % confidence level based on the Student's *t*-test. (d) Distributions of R values of MDA8 O<sub>3</sub> IA to the regionally averaged Q1 IA over the TP; the R values of 0.204 (−0.204), 0.261 (−0.261), 0.308 (−0.308), and 0.398 (−0.398) pass the 80, 90, 95, and 99 % significance levels, respectively. Black boxes with numbers 1, 2, 3, 4, 5, and 6 denote the NEC, NCP, SB, CC, YRD, and PRD regions, respectively.



**Fig. 6.** Spatial pattern (left panel) and principal component (right panel) of the second EOF mode of MDA8 O<sub>3</sub> IA over EC for the warm seasons of 1980–2020. The black dashed line in PC2 denotes Q1 IA.

leading to warm season more O<sub>3</sub> pollution over the detouring air flow regions in the TP northern side. However, For the PRD in the TP southern side detouring air flow regions, significant negative

correlations were identified in the southern and eastern TP, exhibiting the inverse pattern of thermal forcing anomalies over TP with northern China. Basically, the key sources of thermal forcing over the TP



**Fig. 7.** (a) Same as Fig. 1a, (b–g) distributions of R values of interannual variations in the regionally averaged MDA8 O<sub>3</sub> IA over the SB, CC, YRD, NEC, NCP, and PRD to the Q1 IA over the TP. The R values of 0.204 (–0.204), 0.261 (–0.261), 0.308 (–0.308), and 0.398 (–0.398) pass the 80, 90, 95, and 99 % significance levels, respectively.

influenced the major O<sub>3</sub> pollution regions in southern and northern EC with the inverse pattern, depending on their locations and originations to the large topography of the TP, which could result in the interannual variations in O<sub>3</sub> pollution with a dipole structure over EC.

The interannual changes in springtime TP thermal forcing are associated with various climate factors (Duan and Zhang, 2022). For example, the North Atlantic tripole pattern sea surface temperature anomaly can reduce atmospheric heat source over the southern TP through the modulation on subtropical westerly jet (Cui et al., 2015). The negative phase of the Indian Ocean Basin Mode could enhance the atmospheric heat source over the eastern TP by altering the Hadley circulation (Zhao et al., 2018). The surface factors over the TP also contribute to the regional changes in springtime TP thermal forcing, such as the snow cover areas and depth with spatial heterogeneity over the TP (Ping and Longxun, 2001). Consequently, considering the spatially heterogeneous nature of interannual changes in the springtime thermal forcing over the TP, the key heat sources identified in this study could be used in the more effective and fine prediction of O<sub>3</sub> pollution in major air pollution regions.

### 3.4. Meteorological mechanism of TP thermal effect

To unravel the underlying mechanisms driving the dipole distribution of O<sub>3</sub> pollution in EC due to TP thermal forcing, a composite analysis was conducted between strong and weak Q1 years in the Z500 and 850 hPa wind fields to examine the anomalous atmospheric circulation associated with the thermal effect. The composite difference (Fig. 8a) revealed that strong TP thermal forcing led to a significant anomalous high-pressure center at 500 hPa and an anomalous anticyclone at 850 hPa in northern EC. Conversely, in southern EC, strong TP thermal forcing triggered an anomalous low-pressure center and cyclone. The dipole structure of anomalous atmospheric circulation

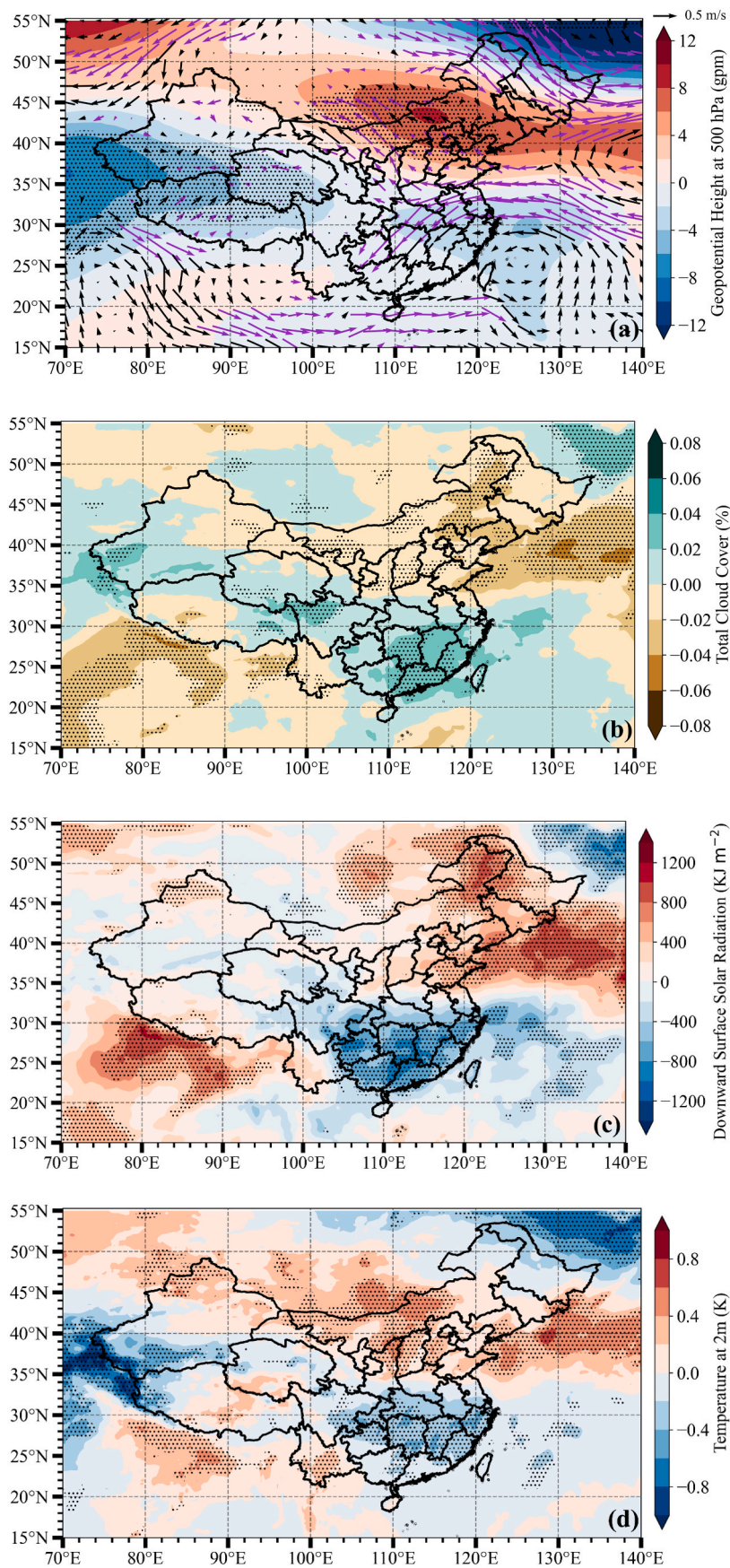
exerts opposing influences on the synoptic patterns in northern and southern EC, thereby modulating local meteorological factors differently.

The presence of an anomalous high-pressure system promotes downdrafts and stagnant atmospheric conditions that are unfavorable for cloud formation. Further examination of the composite difference in the TCC confirmed a dipole spatial pattern corresponding to anomalous circulation, with negative anomalies in northern EC but positive anomalies in southern EC (Fig. 8b). The anomalously strong TP thermal forcing was conducive to the reduction of TCC in northern EC but enhancement in southern EC.

Thick clouds weaken the SSR reaching the ground through absorption and reflection, causing a reduction in SSR and T2. SSR and T2 are the most direct meteorological factors affecting O<sub>3</sub> concentrations by accelerating atmospheric photochemical and thermochemical production and enhancing the natural emissions of O<sub>3</sub> precursors from vegetation and soil (Gu et al., 2020; Lu et al., 2019; Ma et al., 2019). Compared to weak Q1 years, strong Q1 years exhibited a dipole structure in SSR and T2 anomalies, with positive anomalies in northern EC and negative anomalies in southern EC (Fig. 8c, d). Anomalous high (low) SSR and T2 in the northern (southern) EC accelerated (suppressed) local photochemical production of O<sub>3</sub>, leading to positive (negative) MDA8 O<sub>3</sub> anomalies.

In summary, strong TP thermal forcing triggers a significant anomalous high (low) pressure center accompanied by an anticyclone (cyclone), resulting in reduced (increased) TCC, increased (reduced) SSR and T2, and a resultant anomalous increase (decrease) in MDA8 O<sub>3</sub> concentrations in northern (southern) EC. In addition, Relative humidity (RH) and precipitation (PRECI) are also essential factors affecting local O<sub>3</sub> pollution. RH can influence the OH radicals and the heterogeneous reactions of O<sub>3</sub> (He et al., 2017; Li et al., 2021), PRECI can reduce solar radiation, and scavenge O<sub>3</sub> and its precursors in the atmosphere





**Fig. 8.** Composite differences in (a) geopotential height at 500 hPa (shading, gpm) and wind field at 850 hPa (vectors, m s<sup>-1</sup>), (b) total cloud cover (%), (c) downward surface solar radiation (KJ m<sup>-2</sup>), and (d) air temperature at 2 m (K). Black dots and purple vectors indicate the composite anomalies passing the 95% confidence level based on the Student's *t*-test.



(Arshinova et al., 2019; Lu et al., 2019). Therefore, we further included relevant analysis in Fig. S3. The composite difference in the RH2 and PRECI also confirmed a dipole spatial pattern corresponding to anomalous circulation, with negative anomalies in northern EC but positive anomalies in southern EC.

#### 4. Conclusions

The current O<sub>3</sub> concentrations remain alarmingly high in China, particularly in its developed eastern regions. The TP plays a crucial role in influencing climate change and subsequently affecting the photochemical production of O<sub>3</sub> in downstream EC. However, the link between TP and changes in O<sub>3</sub> concentrations has not been extensively studied. Utilizing the CHAP MDA8 O<sub>3</sub> dataset and ERA5 meteorological reanalysis data for 1980–2020, the interannual variations in warm season O<sub>3</sub> pollution over EC associated with springtime TP thermal forcing were investigated. This climatic study on O<sub>3</sub> pollution in EC connecting the TP thermal forcing could have the implication in improving long-term prediction of O<sub>3</sub> pollution application and forming the O<sub>3</sub> control strategy with the spatial disparities of TP effects on regional change of atmospheric environment in China.

The spatiotemporal characteristics of O<sub>3</sub> pollution during the warm seasons of 1980–2020 show significant spatial heterogeneity over the EC region. The six densely populated subregions exhibited relatively serious O<sub>3</sub> pollution, with the NCP experiencing the highest average MDA8 O<sub>3</sub> concentrations. Over the past 41 years, most areas in EC have experienced a progressive increase in O<sub>3</sub> pollution, with the PRD demonstrating the most pronounced increasing trend. Consequently, for the NCP and PRD, located in northern and southern EC, respectively, the O<sub>3</sub> pollution change with the TP thermal effect warrants attention.

The composite and correlation analyses indicated that strong springtime TP thermal forcing led to more and less O<sub>3</sub> pollution in the northern and southern EC regions, respectively. The subsequent EOF analysis further confirmed the close connection between the TP thermal effect and the EOF2 mode with a dipole spatial pattern. Moreover, different areas in EC have varying key sources of Q1 over the TP, depending on their locations and orientations to the large topography of the TP. Considering the spatially heterogeneous nature of interannual changes in the springtime thermal forcing over the TP, the key atmospheric heat sources identified in this study could be used in the more effective and fine prediction of O<sub>3</sub> pollution in major air pollution regions.

The different impacts of TP thermal forcing on meteorological anomalies determined the dipole spatial pattern of the MDA8 O<sub>3</sub> anomalies. In northern EC, strong TP thermal forcing triggered a significant anomalous high-pressure center accompanied by an anticyclone, resulting in an enhanced downdraft, reduced TCC, and increased SSR and T2, which were conducive to the anomalous increase in surface O<sub>3</sub> concentrations. Conversely, in southern EC, the influence of contrasting meteorological conditions driven by the strong thermal forcing of the TP reduced MDA8 O<sub>3</sub> concentrations.

However, climatic systems are complex and variable. Other climatic factors can also influence O<sub>3</sub> concentrations, such as the El Niño–Southern Oscillation (Yang et al., 2022), quasi-biennial oscillation (Li et al., 2023), and the sea surface temperature anomalies in the western Indian Ocean, western Pacific Ocean, and Ross Sea (Gao et al., 2023). In future studies, the combined effects of multiple climatic factors should be considered to obtain a more comprehensive understanding. Furthermore, our current analysis indicated the more significant impacts of the 1–4 year interannual variations in springtime TP heat source on the regional O<sub>3</sub> pollution. The effect of interdecadal variations and other scales of variations in TP heat source on regional O<sub>3</sub> pollution over China could be investigated in further studies. The local photochemical O<sub>3</sub> production plays an important role in interannual variations of regional O<sub>3</sub> pollution, especially in the precursor emission source regions NEC, NCP, SB, CC, YRD, and PRD. In addition, regional transport and dry/wet

deposition could also affect the O<sub>3</sub> changes, which we would further examine and quantify the impacts with comprehensive observational data and modeling processes of atmospheric physics and chemistry.

Owing to the scarce observation network and complicated terrain and topography, there are deviations in the reanalysis data for the TP. Moreover, long-term and large-scale O<sub>3</sub> observational data are also lacking in China. Consequently, the climate modulation of air pollution requires further investigation with more accurate observational data of meteorology and the environment and climate models with more comprehensive processes of atmospheric physics and chemistry.

#### CRediT authorship contribution statement

**Qingjian Yang:** Writing – original draft, Methodology, Investigation, Data curation. **Tianliang Zhao:** Writing – review & editing, Methodology, Conceptualization. **Yongqing Bai:** Writing – review & editing, Methodology, Conceptualization. **Jing Wei:** Data curation. **Xiaoyun Sun:** Writing – review & editing. **Zhijie Tian:** Investigation, Conceptualization. **Jun Hu:** Investigation, Conceptualization. **Xiaodan Ma:** Investigation, Conceptualization. **Yuehan Luo:** Investigation, Conceptualization. **Weikang Fu:** Investigation, Conceptualization. **Kai Yang:** Investigation, Conceptualization.

#### Declaration of competing interest

The authors declare no competing financial interests or personal relationships that could have influenced the work reported in this article.

#### Data availability

Data will be made available on request.

#### Acknowledgment

This research was supported by the National Natural Science Foundation of China (42275196), the National Key Research and Development Program of China (2022YFC3701204), and the Postgraduate Research and Practice Innovation Program of Jiangsu Province (KYCX23\_1317).

#### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.scitotenv.2024.171527>.

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