

## ARTICLE



# Synergic effects of PM<sub>1</sub> and thermal inversion on the incidence of small for gestational age infants: a weekly-based assessment

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**BACKGROUND:** The synergic effects of thermal inversion (TI) and particulate matter with an aerodynamic diameter  $\leq 1 \mu\text{m}$  (PM<sub>1</sub>) exposure and incidence of small for gestational age (SGA) was not clear.

**OBJECTIVE:** We aimed to explore the independent effects of prenatal TI and PM<sub>1</sub> exposure on incidence of SGA and their potential interactive effects.

**METHODS:** A total of 27,990 pregnant women who delivered in Wuhan Children's Hospital from 2017 to 2020 were included. The daily mean concentration of PM<sub>1</sub> was obtained from ChinaHighAirPollutants (CHAP) and matched with the residential address of each woman. Data on TI was derived from National Aeronautics and Space Administration (NASA). The independent effects of PM<sub>1</sub> and TI exposures on SGA in each gestational week were estimated by the distributed lag model (DLM) nested in Cox regression model, and the potential interactive effects of PM<sub>1</sub> and TI on SGA were investigated by adapting the relative excess risk due to interaction (RERI) index.

**RESULTS:** Per 10  $\mu\text{g}/\text{m}^3$  increase in PM<sub>1</sub> was associated with an increase in the risk of SGA at 1–3 and 17–23 gestational weeks, with the strongest effect at the first gestational week (HR = 1.043, 95%CI: 1.008, 1.078). Significant links between one day increase of TI and SGA were found at the 1–4 and 13–23 gestational weeks and the largest effects were observed at the 17<sup>th</sup> gestational week (HR = 1.018, 95%CI: 1.009, 1.027). Synergistic effects of PM<sub>1</sub> and TI on SGA were detected in the 20<sup>th</sup> gestational week, with RERI of 0.208 (95%CI: 0.033, 0.383).

**IMPACT STATEMENT:** Both prebirth PM<sub>1</sub> and TI exposure were significantly associated with SGA. Simultaneous exposure to PM<sub>1</sub> and TI might have synergistic effect on SGA. The second trimester seems to be a sensitive window of environmental and air pollution exposure.

**Keywords:** Particulate matters; PM<sub>1</sub>; Thermal inversion; Synergistic effect; Small for gestational age

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## INTRODUCTION

As a surrogate for abnormal fetal growth, small for gestational age (SGA) is defined as birthweight below the tenth percentile of a certain reference at a given gestational week [1]. SGA was found to be associated with adverse effects of both mother and baby. Evidences showed that mothers who had given birth to SGA infants were more easily to suffer from chronic kidney and cardiovascular diseases later in life [2, 3]. It has been suggested that SGA was related to neonatal morbidity and mortality [4], obesity and cognitive impairment in childhood [5, 6]. Furthermore, SGA may lead to poorer academic outcomes [7], type 2 diabetes mellitus, cardiovascular diseases and lower socioeconomic status (SES) in adulthood [8, 9]. In developed countries of North America and Europe, SGA occurs in approximately every 10 newborn births

[10, 11]. For example, the prevalence of SGA was 9.1% in Denmark and 10.8% in Canada [12, 13]. Almost 32.4 million infants were born SGA in low-income and middle-income countries and China was the number five countries with the highest numbers of SGA infants born [1,072,100 (uncertainty range: 648,300–1,817,600)] in 2010 [14]. A study in France showed that the total maternal and infant hospital cost of SGA was estimated at 23% of the total cost of delivery, and the medical cost of SGA infants in the first year of life was 2783 euros higher than that of appropriate for gestational age (AGA) infants [15].

In addition to genetic and prenatal lifestyle factors, many studies focused on the relationships between environmental conditions and adverse birth outcomes [16, 17]. It was consistently showed that SGA was associated with air pollution exposure

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during pregnancy [18–20]. However, the probable sensitive exposure windows of studies were different. A retrospective cohort study in China pointed out that negative associations between increases in ambient CO, O<sub>3</sub>, PM<sub>2.5</sub> and PM<sub>10</sub> and term birth weight were found during the entire pregnancy [18]. While statistically significant relationships of PM<sub>10</sub> and SGA were only observed in the first and third trimesters in the UK [19]. Therefore, more recent studies subdivide the exposure window by gestational week to explore a more accurate sensitive period [6, 21]. Besides, smaller size of particulate matter (PM), such as PM<sub>1</sub>, was found to be more harmful to human health with a larger surface area mass ratio than PM<sub>2.5</sub> and PM<sub>10</sub> [22–24]. Researchers have lately followed the question of whether PM<sub>1</sub> could increase the risk of adverse birth outcomes, such as preterm birth (PTB), low birth weight (LBW), SGA and neurodevelopmental delay in children [25–27]. Although the study conducted in Beijing revealed an interesting impact of PM<sub>1</sub> on neonatal health, no significant correlation was found between PM<sub>1</sub> and SGA [26].

Thermal inversion (TI) is a meteorological phenomenon that occurs when a layer of hot air settles on top of a layer of cooler air near the ground [28]. It prevents air flow and hinders the diffusion of air pollutants, resulting in peaks of NO<sub>2</sub>, SO<sub>2</sub>, PM<sub>10</sub> and PM<sub>2.5</sub> primarily occurring during TI [29–31]. Moreover, the Vietnam study assumed that TI was correlated with number of patients in 5 years [31]. And a survey developed in Brazil revealed that exposure to TI during the last three months of pregnancy caused sizable reductions in birth weight and an increase in the risk of PTB [32]. The relative excess risk due to interaction (RERI) index was usually applied to explore the potential synergistic effects of two risk factors on health [33, 34]. Recent studies announced the joint effects of heatwaves and air pollution on PTB by using RERI index [21, 35, 36].

In this study, the distributed lag model (DLM) was nested in Cox regression model to explore the independent effects of maternal exposure to PM<sub>1</sub> and TI on SGA in each gestational week. In addition, the possible interactive effects of PM<sub>1</sub> and TI were estimated by calculating RERI index. This would help fill the knowledge gap of the impacts of PM<sub>1</sub> and TI on pregnant women and better protect the health of next generations in the ear of climate change.

## MATERIALS AND METHODS

### Study area and population

Wuhan is located in the middle of China and the Yangtze River and its largest tributary converges in the city. As the capital of Hubei Province, Wuhan is an important transportation hub in China and known as the “thoroughfare to nine provinces”, which has a northern subtropical monsoon climate with abundant rainfall and four distinct seasons. Wuhan Children’s Hospital is the first specialized hospital to pass the Class A tertiary hospital in Wuhan, situated in the downtown area of Wuhan and undertakes numerous medical and health services for women and children.

### Data collection

After screening and eliminating missing data according to inclusion and exclusion criteria, a total of 27,990 gravidas and their singleton live fetuses in Wuhan Children’s Hospital from January 1, 2017 to December 31, 2020 were included in this study (Fig. 1). Except for participants with incomplete information, the characteristics of included and excluded participants were compared in Table S1. There was no significant difference in major individual characteristics, including maternal age, education level and infant sex. The distribution of their addresses can be seen as Fig. 2. Besides, we also retrieved variables of special interest from the hospital’s delivery register, including maternal residential address and duration, age, gravidity and parity, education level, work status, high-risk factor during pregnancy (premature rupture of membranes, gestational diabetes, gestational hypertension, preeclampsia, eclampsia, oligohydramnios, placental abruption, placenta previa, cord entanglement and hepatitis B, etc.), gestational age, date of delivery, newborn sex and birth weight.

SGA refers to newborns whose birth weight is lower than the 10<sup>th</sup> percentile of the average weight at the same gestational age [1]. And the weight of newborns was measured within an hour after delivery. The gestational age was

determined by professional physicians combining ultrasound with the last self-reported menstrual period to represent the best clinical estimate for each mother. Similarly, AGA was defined as the fetus whose birth weight is between the 10<sup>th</sup> and 90<sup>th</sup> percentile of the average birth weight at the same gestational age [37]. SGA and AGA were both defined by a Chinese standard published in Chinese Journal of Pediatrics in 2020 [38].

Daily mean concentrations of PM<sub>1</sub> from January 1, 2016 to December 31, 2020 in this study were obtained from ChinaHighAirPollutants (CHAP) [39–41]. CHAP is a series of long-term, high-quality and high-resolution (1 km × 1 km) air pollution datasets for China, and it was widely used in environmental epidemiology studies [42–44]. In brief, CHAP was obtained from satellite observation data based on Multi-source Satellite remote sensing technology by using artificial intelligence methods and considering natural and human factors (meteorological conditions, surface and topographic changes, human distribution, pollution emissions, etc.) as well as the Spatio-temporal characteristics of air pollution. The cross-validation coefficient of determination (CV-R<sup>2</sup>) and root-mean-square error (RMSE) of PM<sub>1</sub> were 0.77 and 14.6 μg/m<sup>3</sup> on a daily basis, respectively.

Data on TI was derived from the product M2I6NPANA version 5.12.4, which was generated from Modern-Era Retrospective analysis for Research and Applications version 2 (MERRA-2) released by the National Aeronautics and Space Administration (NASA) of the U.S. (<http://disc.gsfc.nasa.gov/>). This dataset provides 0.5° × 0.625° resolution (around 50 km × 60 km) and 6-h air temperature at 42 barometric layers ranging from 110 to 36,000 meters ([https://disc.gsfc.nasa.gov/datasets/M2I6NPANA\\_V5.12.4/summary](https://disc.gsfc.nasa.gov/datasets/M2I6NPANA_V5.12.4/summary)). Every 6 hours, we calculate the temperature difference between the second layer (320 meters) and the first layer (110 meters) of Wuhan. If the difference was positive, there existed TI. If the difference was negative, there was no TI [45, 46]. As long as there existed a TI in one of the four 6-h per day, we regarded that day as TI exposure.

### Statistical analysis

Accounting for the different gestational weeks at birth and all live births in this study had a gestational age of 26 weeks or more, the first 26 gestational weeks of pregnant women in this study was chosen as the exposure period. The chi-squared test was used to compare SGA group and AGA group. Maternal exposure to PM<sub>1</sub> and TI in 1–26 gestational weeks between the two groups were compared by t-test. Potential confounders were selected a priori including maternal age (<35, 35+ years), gravidity (=1, >1), parity (=1, >1), education level (junior high school or below, high school and college degree or above), work status (employed, unemployed), whether there was high-risk factor during pregnancy (yes, no), newborn sex (male, female), average temperature and season become pregnant [Spring (Mar-May), Summer (Jun-Aug), Autumn (Sep-Nov) and Winter (Dec-Feb)]. After adjusting confounding factors, DLM nested in Cox regression model was used to investigate the associations between PM<sub>1</sub>/TI and SGA. The basic risk model was as follow:

$$h[t, X_i, X_i(t)] = h_0(t) \exp[\beta_1 X_i + \beta_d X_i(t)] \quad (1)$$

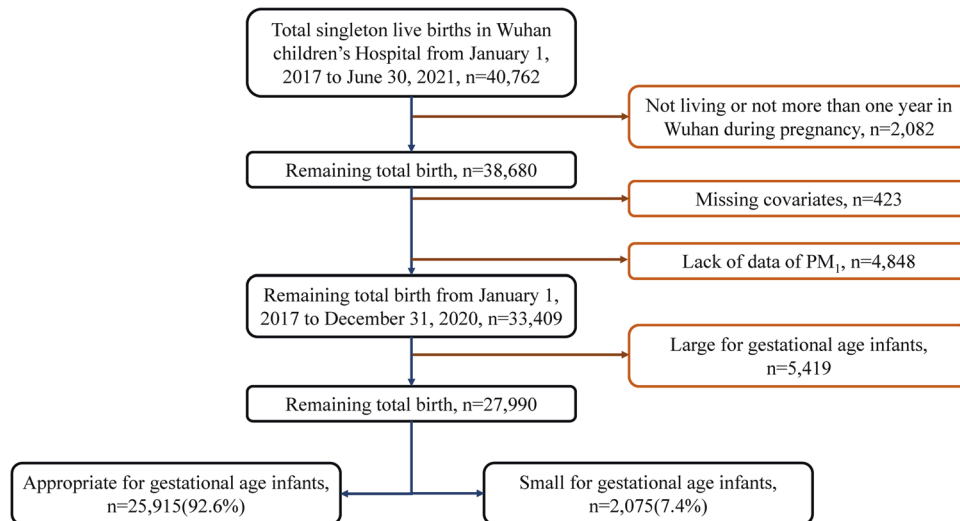
where SGA was treated as a time-to-event outcome and  $t$  represents the completed gestational week;  $h_0$  is the baseline hazard function, indicating the hazard function for an individual with all variables equal to zero;  $X_i$  refers to the values of time-independent variables during pregnancy, including maternal age, gravidity, parity, education level, work status, whether there was high-risk factor, newborn sex, average temperature and season become pregnant.  $X_i(t)$  are the values of time-varying variables during pregnancy like PM<sub>1</sub> and TI exposure.

Weekly mean concentration of PM<sub>1</sub> and days of TI were added in the model as a cross-basis matrix based on DLM, where the lag-outcome associations were modelled using a natural cubic spline with four degrees of freedom with knots equally spaced [21]. Independent effects of PM<sub>1</sub> and TI exposure on SGA were analyzed as the following model:

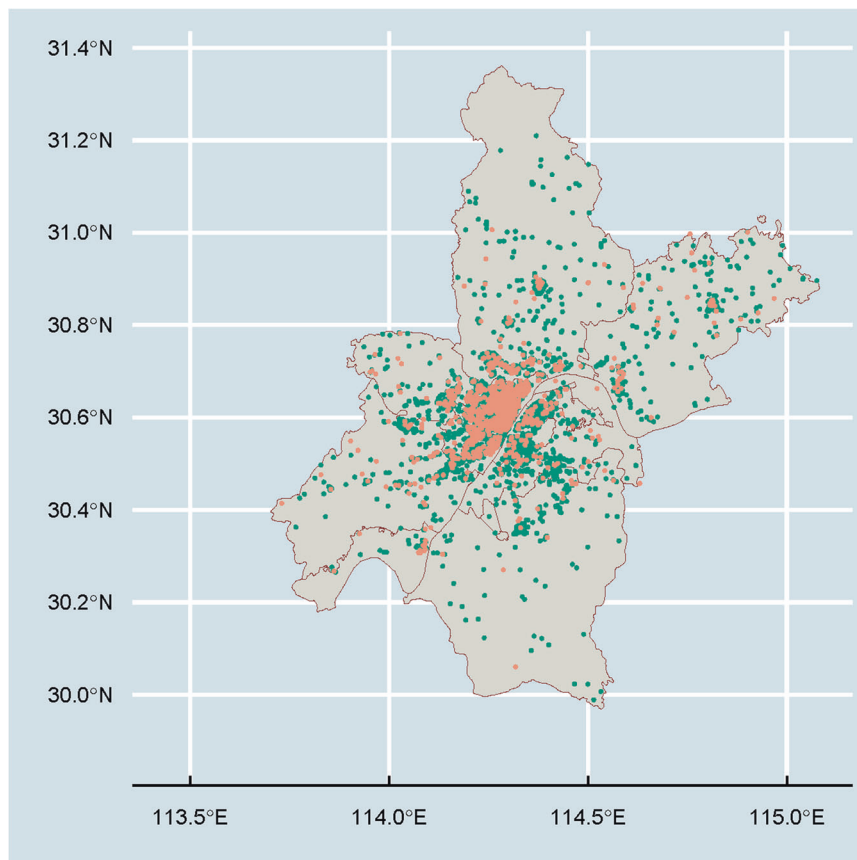
$$h[t, X_i, X_i(t)] = h_0(t) \exp\left[\beta_1 X_i + \beta_{PM_1/TI} cbX_{PM_1/TI}\right] \quad (2)$$

where  $cbX_{PM_1/TI}$  refers to the cross-basis matrix of weekly concentration of PM<sub>1</sub> or days of TI during 1–26 gestational weeks. PM<sub>1</sub> and TI exposure were included as continuous variable, with the hazard ratios (HR) and 95% confidence interval (CI) calculated per 10 μg/m<sup>3</sup> increase in PM<sub>1</sub>, and 1 day increase in TI.

In addition, the restricted cubic spline (RCS) was used to test the linearity of the associations between PM<sub>1</sub> and TI with SGA. We also analyzed the independent one-week effects of PM<sub>1</sub> and TI on SGA in different newborn genders (boys and girls), and gender was removed from covariates of the



**Fig. 1** Flow chart of the cohort study population selection. A total of 40,762 singleton live births were collected during the study, and 27,990 participants are presented in our analytic sample after exclusion according to specific requirements.



**Fig. 2** The locations of residences of SGA gravidas and AGA gravidas. The distribution of SGA and AGA gravidas on the map is similar, with most of them living in the central area. Orange points: SGA infants; green points: AGA infants.

basic risk model in the stratification analysis. The correlations between  $PM_1$  and TI in each gestational week were estimated by Spearman rank correlation coefficients. And Mediation effect analysis was used to detect the independence of  $PM_1$  and TI exposure on the effect of SGA.

According to previous studies [21], we calculated the RERI to explore the potential interactive effects of maternal exposure to  $PM_1$  and TI on SGA. The formula was shown as follow:

$$RERI = HR_{11} - HR_{10} - HR_{01} + 1 \quad (3)$$

We used the median of  $PM_1$  and TI in each gestational week as a cut-off to divide the two exposures into binary variables (for example, in the first gestational week, TI was divided as  $\geq 4$  days and  $< 4$  days,  $PM_1$  was divided as  $\geq 26.2 \mu\text{g}/\text{m}^3$  and  $< 26.2 \mu\text{g}/\text{m}^3$ ). Where  $HR_{11}$  means the relative risk of high level of  $PM_1$  ( $\geq P50$ ) and TI ( $\geq P50$ );  $HR_{10}$  means the relative risk of high level of  $PM_1$  ( $\geq P50$ ) and low level of TI ( $< P50$ );  $HR_{01}$  means the relative risk of low level of  $PM_1$  and high level of TI;  $HR_{00}$  means the relative risk of low level of  $PM_1$  and TI.

**Table 1.** Summary statistic of small for gestational age infants (SGA) in study sample compared with appropriate for gestational age infants (AGA), Wuhan (January 1, 2017 to December 31, 2020).

	AGA (n = 25,915)	SGA (n = 2075)	P-value
<b>Age (years), n (%)</b>			<b>&lt;0.001</b>
<35	16589 (64.0%)	1452 (70.0%)	
35+	9326 (36.0%)	623 (30.0%)	
<b>Gravidity, n (%)</b>			<b>&lt;0.001</b>
=1	12254 (47.3%)	1154 (55.6%)	
>1	13661 (52.7%)	921 (44.4%)	
<b>Parity, n (%)</b>			<b>&lt;0.001</b>
Primiparous	16957 (65.4%)	1596 (76.9%)	
Multiparous	8958 (34.6%)	479 (23.1%)	
<b>Maternal education, n (%)</b>			0.639
Junior high school or below	5432 (21.0%)	448 (21.6%)	
High school	2010 (7.8%)	156 (7.5%)	
College degree or above	18473 (71.3%)	1471 (70.9%)	
<b>Work status, n (%)</b>			0.093
Yes	14469 (55.8%)	1119 (53.9%)	
No	11446 (44.2%)	956 (46.1%)	
<b>Highrisk, n (%)</b>			0.836
Yes	18153 (70.0%)	1458 (70.3%)	
No	7762 (30.0%)	617 (29.7%)	
<b>Infant sex, n (%)</b>			<b>0.019</b>
Male	13796 (53.2%)	1160 (55.9%)	
Female	12119 (46.8%)	915 (44.1%)	
<b>Season, n (%)</b>			
Spring (Mar-May)	6202(23.9%)	413(19.9%)	<b>&lt;0.001</b>
Summer (Jun-Aug)	6511(25.1%)	589(28.4%)	
Autumn (Sep-Nov)	6916(26.7%)	591(28.5%)	
Winter (Dec-Feb)	6286(24.3%)	482(23.2%)	
<b>Temperature (°C), <math>\bar{X} \pm s</math></b>	24.0 $\pm$ 2.2	24.1 $\pm$ 2.3	<b>0.001</b>
<b>PM<sub>1</sub> (<math>\mu\text{g}/\text{m}^3</math>), <math>\bar{X} \pm s</math></b>	30.1 $\pm$ 8.3	30.9 $\pm$ 8.3	<b>&lt;0.001</b>
<b>TI (days), <math>\bar{X} \pm s</math></b>	102.3 $\pm$ 12.5	103.5 $\pm$ 12.3	<b>&lt;0.001</b>

Bolds represent statistically significant.

TI thermal inversion.

The RERI = 0 means the absence of an additive interaction, RERI > 0 represents that the joint effects of PM<sub>1</sub> and TI is greater than the sum of each exposure alone, and RERI < 0 indicates less than combined effects. And the attributable proportion of interaction (AP) was also calculated to verify the robustness of the results [47]. The confidence interval of RERI and AP contained 0 suggesting that there was no interaction between PM<sub>1</sub> and TI. Further, sensitivity analyses were conducted: all models were changed the control group from AGA to non-SGA to test the robustness of the results in the current study.

The effects of PM<sub>1</sub>, TI and interactive effects were reported as HR and 95% CI. We performed all statistical analyses by R software (version 4.0.5), packages dlnm, splines, survival, mediation and Rinteract in R software

were used. The results were two-side, and *P*-values <0.05 was considered statistically significant.

## RESULTS

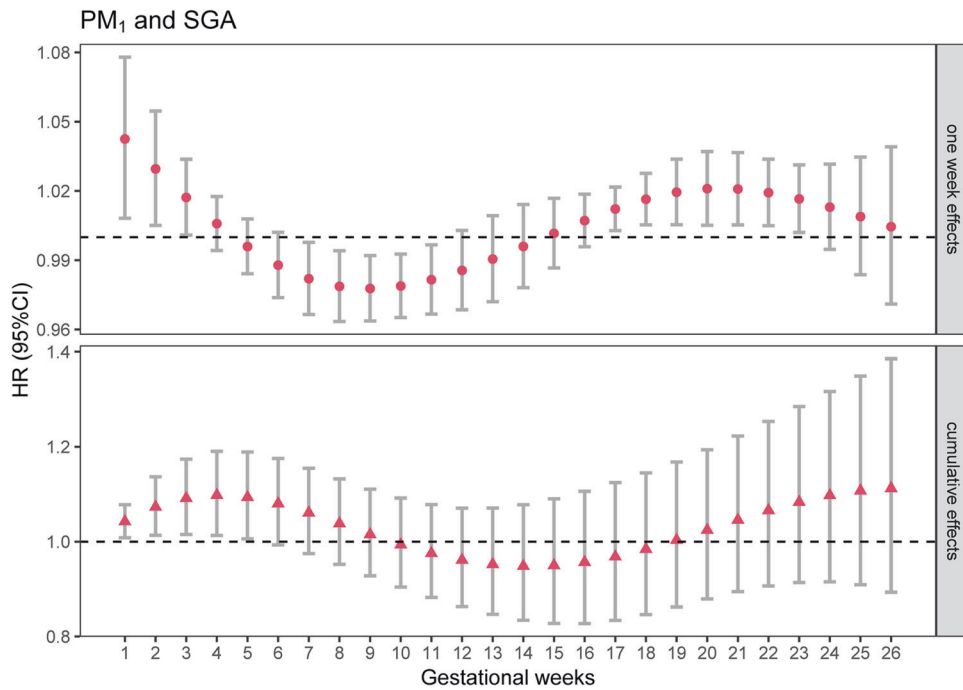
A total of 25,915 cases of AGA and 2075 cases of SGA infants were eligible for the analysis (Fig. 1). Table 1 presented overall specific maternal and infant characteristics as well as average concentration of PM<sub>1</sub> and total days of TI during 1–26 gestational weeks. Most Mothers in SGA group were younger than 35 years old (70.0%, *P* < 0.001). Women who delivered AGA infants were more likely to have more gravidities and parities (52.7%, *P* < 0.001 and 34.6%, *P* < 0.001 respectively). No significant differences were observed in education level, work status and whether there were high risk factors during pregnancy between AGA and SGA group (*P* = 0.639, *P* = 0.093 and *P* = 0.836, separately). Compared with AGA infants, the proportion of male in SGA infants were larger (55.9%, *P* = 0.019). The season of pregnancy in two group were also appeared different pattern (*P* < 0.001). During 1–26 gestational weeks, the average mean temperature, concentration of PM<sub>1</sub> and total TI days in case group was 24.0 °C, 30.9  $\mu\text{g}/\text{m}^3$  and 103.5 days, significantly higher than that in control group [24.1 °C (*P* = 0.001), 30.1  $\mu\text{g}/\text{m}^3$  (*P* < 0.001) and 102.3 days (*P* < 0.001), separately].

Figure 3 showed HR of SGA infants associated with per 10  $\mu\text{g}/\text{m}^3$  increase of maternal PM<sub>1</sub> exposure in 1–26 gestational weeks. For one week model, statistically significant positive effects of PM<sub>1</sub> on SGA were found at 1–3 and 17–23 gestational weeks, with the strongest estimated value at first gestational week (HR = 1.043, 95%CI: 1.008, 1.078) (Table S2). In the cumulative model, significant adverse effect of maternal PM<sub>1</sub> exposure for SGA births during 1–4 gestational weeks were observed, and the largest HR values was found at 4<sup>th</sup> gestational week (HR = 1.098, 95%CI: 1.013, 1.190).

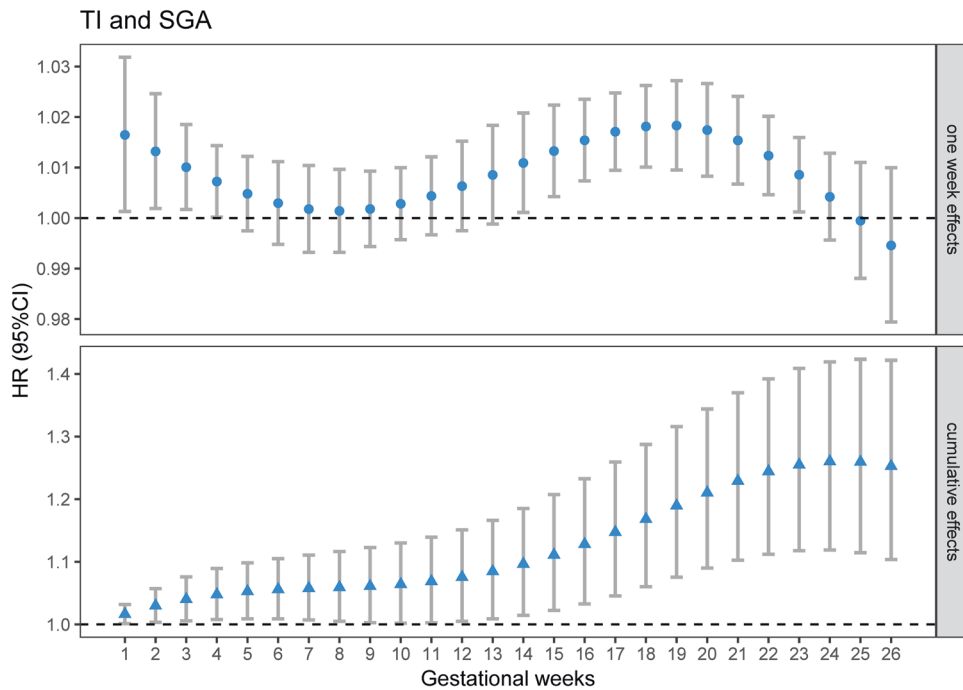
Figure 4 illustrated the impacts of maternal exposure to TI on SGA during 1–26 gestational weeks. The statistical associations of exposure to TI increasing the risks of SGA were only detected at the 1–4 and 13–23 gestational weeks in one week analysis, and the largest and statistically significant effects of TI on SGA were observed at the 19<sup>th</sup> gestational week (HR = 1.018, 95%CI: 1.009, 1.027) (Table S3). And the significant adverse cumulative effects of TI on SGA were found at 2–26 weeks, with the strongest values appeared at 24<sup>th</sup> gestational week (HR = 1.260, 95%CI: 1.119, 1.419).

Furthermore, PM<sub>1</sub> and TI at the gestational weeks with strongest adverse effects on SGA were added in RSC model to test the linearity of the associations, seen in Fig. S1. There was a significant nonlinear association was observed between PM<sub>1</sub> and SGA (*P* = 0.034), but a strong linear relationship between PM<sub>1</sub> (at first gestational week) and SGA was evidenced when PM<sub>1</sub> concentration  $\geq 40 \mu\text{g}/\text{m}^3$ . Therefore, PM<sub>1</sub> was included in the model as categorical variable (PM<sub>1</sub> concentration <40  $\mu\text{g}/\text{m}^3$  and  $\geq 40 \mu\text{g}/\text{m}^3$ ). Table S4 showed that the results had little change when PM<sub>1</sub> was treated as categorical variable, suggesting the models were robust. No significant nonlinear association in TI and SGA was found (*P* = 0.866).

Figure 5 showed the one-week effects of per 10  $\mu\text{g}/\text{m}^3$  increase of PM<sub>1</sub> concentration or per 1 day increase of TI maternal exposure on SGA in different newborn genders. For PM<sub>1</sub> exposure, significant adverse effects in boys were detected at 1–3 and 17–22 gestational weeks, and the most positive association was observed at first gestational week (HR = 1.059, 95%CI: 1.013, 1.108). While there was no statistically significant relationship between PM<sub>1</sub> and SGA in girls. In boy group, per 1 day increase of TI was found to be associated with SGA at 1–4 and 12–22 gestational weeks, with the highest HR value appeared at first gestational week (HR = 1.032, 95%CI: 1.012, 1.053). And significant adverse effects of TI on SGA were presented in 17–23 gestational weeks in girls. The strongest positive association was witnessed at 20<sup>th</sup> gestational week (HR = 1.020, 95%CI: 1.006, 1.034) (Table S5).



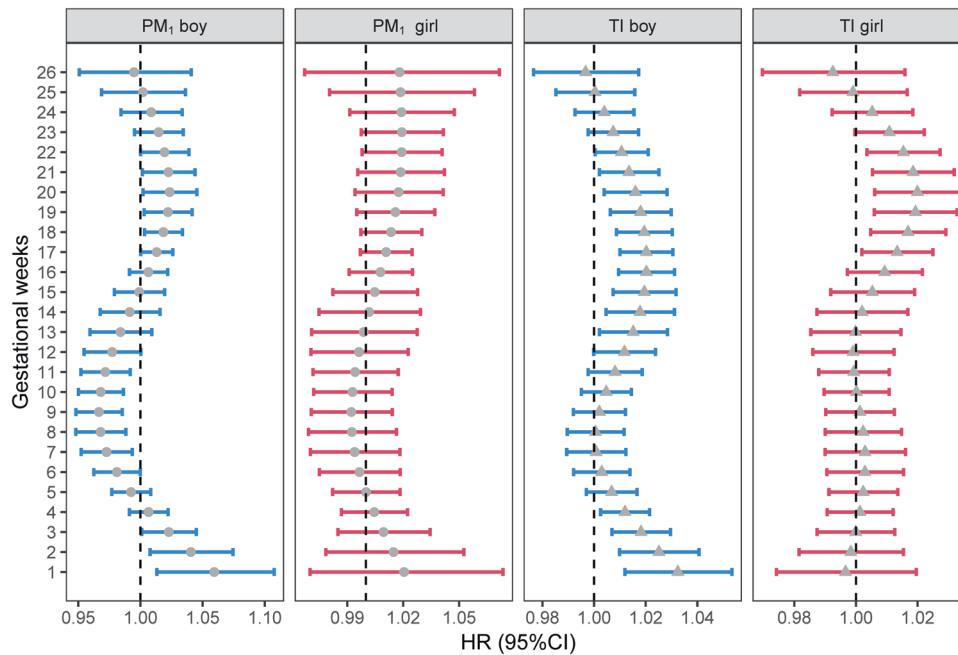
**Fig. 3** Hazard ratios (95% CI) for SGA with per  $1 \mu\text{g}/\text{m}^3$  increase of  $\text{PM}_1$  during 1–26 gestational weeks in Wuhan, 2017–2020. All models control for maternal age, gravidity, parity, education level, work status, whether there was high-risk factor, newborn sex, average temperature and season become pregnant. 95% CI, 95% Confidence Interval. Full model results are available in Supplementary Table S2.



**Fig. 4** Hazard ratios (95% CI) for SGA with per one day increased in thermal inversion (TI) during 1–26 gestational weeks in Wuhan, 2017–2020. All models control for maternal age, gravidity, parity, education level, work status, whether there was high-risk factor, newborn sex, average temperature and season become pregnant. 95% CI, 95% Confidence Interval. Full model results are available in Supplementary Table S3.

Spearman correlation coefficients, the average concentration of  $\text{PM}_1$  and days of TI in 1–26 gestational weeks were shown in Table S6. The relationships between  $\text{PM}_1$  and TI in 1–26 gestational weeks were relatively low. Similarly,  $\text{PM}_1$  and TI in the two gestational weeks with  $\text{PM}_1$  and TI each strongest adverse effects on SGA were added in Casual Mediation Analysis to test

their independence on the effect of SGA. As presented in Table S7, no significant average casual mediation effect (ACME) was observed both at first and 19<sup>th</sup> gestational weeks, and the significant average direct effects (ADE) were appeared both at first and 19<sup>th</sup> gestational weeks [0.0023 (0.0011, 0.0036) and 0.0018 (0.0003, 0.0033) respectively]. It suggested that TI had adverse



**Fig. 5 Hazard ratios (95% CI) of one-week effects for SGA with per  $10 \mu\text{g}/\text{m}^3$  increase of  $\text{PM}_{10}$  concentration or per 1day increase of TI in different newborn gender.** All models control for maternal age, gravidity, parity, education level, work status, whether there was high-risk factor, newborn sex, average temperature and season become pregnant. 95% CI, 95% Confidence Interval. Full model results are available in Supplementary Table S5.

effects on SGA mainly through direct effects instead of the mediation of  $\text{PM}_{10}$  on SGA. And the non-significant proportion of mediation effects were relatively small, accounting for a tenth around. Therefore, we assumed  $\text{PM}_{10}$  and TI were independent in their influence on SGA.

When  $\text{PM}_{10}$  and TI exposure to mothers in 1–26 gestational weeks were treated as binary variables (cut off by median of each gestational week), we found evidence of greater than additive risks for high  $\text{PM}_{10}$  and long TI at 6<sup>th</sup>, 13<sup>th</sup> and 20<sup>th</sup> gestational weeks (Table 2). Both RERIs and APs for additive interactions statistically were significant. In gestational weeks where both  $\text{PM}_{10}$  and TI were statistically significant (1–3 and 17–23 gestational weeks), synergistic effects for higher  $\text{PM}_{10}$  ( $\geq 25.7 \mu\text{g}/\text{m}^3$ ) and longer TI ( $\geq 4$  days) exposure was found in 20<sup>th</sup> gestational week, with RERIs from the adjusted model of 0.208 (95%CI: 0.033, 0.383), suggesting that 20.8% excess risks relative to expectations of the combined effects. And AP from the adjusted model was also significant in 20<sup>th</sup> gestational week [0.198 (95%CI: 0.028, 0.368)], which showed that our results were robust.

In sensitive analyses, correlations between maternal exposure to  $\text{PM}_{10}$  and SGA newborns were almost consistent when we replaced the control group with non-SGA group instead of AGA group (Table 3). After changing the control group for SGA group, all of the HRs between TI exposure to mothers and SGA births in the 1–4 and 14–22 gestational weeks remained statistically significant, suggesting that our results and models were robust.

## DISCUSSION

To the best of our knowledge, the present study was the first study to estimate both independent effects of maternal TI exposure during the first and second trimesters of pregnancy on SGA and its potential interaction effects with  $\text{PM}_{10}$  exposure. We observed statistically significant impacts of exposure to  $\text{PM}_{10}$  on SGA at the 1–3 and 17–23 gestational weeks and evident associations between mothers' exposure to TI and SGA births at the 1–4 and 14–23 gestational weeks in one-week model. For cumulative models, significant associations between  $\text{PM}_{10}$  and SGA

were found at 1–5 gestational weeks, the relationships between TI and SGA were found at 1–26 weeks.  $\text{PM}_{10}$  was only related with SGA in boy group while TI was correlated SGA in both gender groups. In addition, the interactive analyses suggested synergistic effects on SGA of  $\text{PM}_{10}$  exposure combined with TI exposure at 20<sup>th</sup> gestational week.

Statistically significant effects of  $\text{PM}_{10}$  exposure on SGA were detected during the second trimesters of pregnancy in this study. The relationships between air pollution and SGA had been widely assessed in recent years, especially particulate matter (such as  $\text{PM}_{2.5}$  and  $\text{PM}_{10}$ ) [18–20]. A study conducted in Lima, Peru reported that higher exposure to  $\text{PM}_{2.5}$  was associated with increased risk of SGA [48]. In a national Canadian study, a  $10\text{-}\mu\text{g}/\text{m}^3$  increase in  $\text{PM}_{2.5}$  over the entire pregnancy was associated with SGA (odds ratio = 1.04; 95% CI 1.01, 1.07) and reduced term birth weight ( $-20.5 \text{ g}$ ; 95% CI  $-24.7, -16.4$ ) [49]. Such correlations were also evidenced in the US, showing associations between  $\text{PM}_{2.5}$  exposure and adverse birth outcomes particularly for SGA [50]. Avon Longitudinal Study of Parents and Children (ALSPAC) reported that odds of TLBW increased by 40% (OR: 1.40, 95%CI: 1.12, 1.75) and odds of SGA increased by 18% (OR: 1.18, 95%CI: 1.05, 1.32) per IQR ( $6.54 \mu\text{g}/\text{m}^3$ ) increase of total  $\text{PM}_{10}$  exposure. Hao et al. also observed that the increase of  $\text{PM}_{10}$  concentration during the whole pregnancy was related with a higher risk of SGA in Huangshi, China [37].

Although there have been few studies working on the relationships between  $\text{PM}_{10}$  and SGA, similar results to our related findings were still showed in the available studies. A study in Beijing suggested that reducing  $\text{PM}_{10}$  exposure can prevent early-life health problems as  $\text{PM}_{10}$  was negatively correlated with fetal growth in utero [26]. And another study that involved the impacts of  $\text{PM}_{10}$  on adverse pregnancy outcomes estimated that the critical window of air pollution impacts might be early-to-mid pregnancy, consistent with our results [27]. However, there had not been studies working on the effects of  $\text{PM}_{10}$  on SGA in the level of every gestational week. Referring to previous studies on the weekly impact of PM on adverse birth outcomes, the results also showed similar sensitive exposure window [51–53]. A case-control study in

**Table 2.** Relative excess risk due to interaction of TI and PM<sub>1</sub> exposure on SGA (binary variable) in Wuhan, China, 2017–2020.

Gestational Weeks	Cut-off for PM <sub>1</sub> (µg/m <sup>3</sup> )	Cut-off for TI (days)	RERI (95% CI)	AP (95% CI)
1	26.2	4	−0.223 (−0.438, −0.008)	−0.211 (−0.411, −0.011)
2	26.3	4	−0.062 (−0.251, 0.127)	−0.067 (−0.272, 0.137)
3	26.3	4	−0.022 (−0.213, 0.169)	−0.022 (−0.217, 0.172)
4	26.3	4	0.086 (−0.093, 0.265)	0.089 (−0.097, 0.275)
5	26.2	4	0.004 (−0.179, 0.186)	0.004 (−0.191, 0.198)
6	26.0	4	<b>0.188 (0.015, 0.360)</b>	<b>0.188 (0.014, 0.361)</b>
7	26.1	4	0.158 (−0.025, 0.341)	0.146 (−0.024, 0.317)
8	26.0	4	0.079 (−0.092, 0.251)	0.088 (−0.103, 0.279)
9	25.9	4	0.028 (−0.152, 0.208)	0.031 (−0.164, 0.226)
10	26.0	4	−0.040 (−0.221, 0.141)	−0.047 (−0.254, 0.161)
11	25.9	4	0.051 (−0.132, 0.234)	0.051 (−0.135, 0.237)
12	25.7	4	0.154 (−0.015, 0.323)	0.168 (−0.022, 0.358)
13	25.6	4	<b>0.194 (0.034, 0.354)</b>	<b>0.219 (0.032, 0.406)</b>
14	25.7	4	0.067 (−0.121, 0.254)	0.064 (−0.118, 0.245)
15	25.8	4	0.172 (−0.004, 0.349)	0.168 (−0.008, 0.344)
16	25.7	4	−0.013 (−0.210, 0.184)	−0.012 (−0.199, 0.174)
17	25.7	4	0.070 (−0.131, 0.271)	0.058 (−0.109, 0.225)
18	25.6	4	−0.093 (−0.304, 0.118)	−0.080 (−0.261, 0.101)
19	25.7	4	0.178 (−0.002, 0.358)	0.163 (−0.004, 0.330)
20	25.7	4	<b>0.208 (0.033, 0.383)</b>	<b>0.198 (0.028, 0.368)</b>
21	25.6	4	0.030 (−0.166, 0.226)	0.027 (−0.151, 0.205)
22	25.5	4	−0.011 (−0.215, 0.193)	−0.010 (−0.186, 0.166)
23	25.4	4	0.098 (−0.091, 0.286)	0.089 (−0.086, 0.265)
24	25.3	4	0.050 (−0.146, 0.247)	0.045 (−0.132, 0.222)
25	25.3	4	−0.081 (−0.296, 0.135)	−0.070 (−0.255, 0.115)
26	25.1	4	−0.004 (−0.196, 0.189)	−0.004 (−0.197, 0.189)

Bolds represent statistically significant.

PM<sub>1</sub> and TI were cut by median in each gestational week.

TI thermal inversion.

Tianjin found that clinically recognized early pregnancy loss (CREPL) was significantly associated with a 10 µg/ m<sup>3</sup> increase in PM<sub>2.5</sub> exposure during second gestational week (OR = 1.15; 95% CI: 1.04, 1.27) [51]. Results from project Environmental and Lifestyle Factors in metabolic health throughout life-course Trajectories (ELEFANT) also showed positive significant relationships between PM<sub>2.5</sub> and SGA at the first to second gestational weeks ( $P < 0.05$ ) [52]. These evidences hinted that the first few weeks after conception possibly were the exposure window of most vulnerability. In addition, an Italian birth cohort study suggested that PM<sub>10</sub> exposure between 15–20 gestational week seemed to be associated with shorter telomeres at birth, which might influence later disease susceptibility [53]. Future studies need focus on replicating these findings with specific vulnerable exposure windows and on pathogenic mechanisms.

The specific biological mechanism of the relationships between PM and SGA was not fully elucidated. There were some possible theories: (1) Many previous studies speculated that air pollution like particulate matter might trigger oxidative stress and inflammatory response, impairing placental oxygen and nutrient transport function [25, 54]. (2) Growing evidence hinted that the increase of hemoglobin concentration played a mediating role in the relationship between PM<sub>2.5</sub> and SGA [20, 55]. (3) A study conducted in 16 counties across China during 2014–2018 also found that hypertension could mediate PM<sub>2.5</sub> and SGA relationship [55]. Maternal oxidative stress and inflammatory reaction caused by air pollution can cause blood pressure to rise and interfere

the development of placental villus trees, resulting in the decline of placental function and intrauterine growth restriction [56, 57]. It was reported that PM<sub>1</sub> was the main component of PM<sub>2.5</sub> and contributed to nearly four-fifth of PM<sub>2.5</sub> in China [58]. In this study, PM<sub>1</sub> might play a similar role to PM<sub>2.5</sub> in theories mentioned above. Moreover, an experiment on rats showed that the surface area of particles inhaled into lungs was proportional to the intensity of the inflammatory response [59]. PM<sub>1</sub> may cause more deleterious health outcomes than PM<sub>2.5</sub> or PM<sub>10</sub> because it has greater surface area. On the other hand, Therefore, it is necessary to explore and prevent the harm of particulate matter of smaller size to human health.

Antenatal exposure to TI was significant correlated with the increasing risks of SGA during most gestational weeks in the second trimester, which was the first time to be reported. On the scarcity of relevant studies, we have made some conjectures about the association. TI could prevent air convection and give rise to a windless environment, resulting in urban microclimate being affected and pavement surface temperature 3–10 °C hotter than those in windy weather [60, 61]. Furthermore, TI was elucidated to be related with a significant decrease in birth weight and an increase in the incidence of PTB in Brazil [32]. On the other hand, it was universally acknowledged that TI could inhibit the diffusion of air pollutants and increase the concentrations of pollutants [62, 63]. Except for the aggravation of air pollution, other meteorological factors associated with TI (e.g., relative humidity and weather) may directly impact health and affect the lifestyle of pregnant women [32]. Based on the

**Table 3.** Sensitive analyze of the effects of maternal exposure to PM<sub>1</sub> and TI on SGA after replacing the control group with non-SGA group instead of AGA group.

Gestational weeks	PM <sub>1</sub> [HR (95%CI)]		TI [HR (95%CI)]	
	AGA	non-SGA	AGA	non-SGA
1	<b>1.043 (1.008, 1.078)</b>	<b>1.049 (1.016, 1.084)</b>	<b>1.016 (1.001, 1.032)</b>	<b>1.019 (1.004, 1.035)</b>
2	<b>1.030 (1.005, 1.055)</b>	<b>1.034 (1.011, 1.058)</b>	<b>1.013 (1.002, 1.025)</b>	<b>1.016 (1.004, 1.027)</b>
3	<b>1.017 (1.001, 1.034)</b>	<b>1.020 (1.005, 1.035)</b>	<b>1.010 (1.002, 1.019)</b>	<b>1.012 (1.004, 1.021)</b>
4	1.006 (0.994, 1.018)	<b>1.007 (0.997, 1.016)</b>	<b>1.007 (1.000, 1.014)</b>	<b>1.009 (1.002, 1.016)</b>
14	0.996 (0.978, 1.014)	0.991 (0.975, 1.008)	<b>1.011 (1.001, 1.021)</b>	<b>1.010 (1.000, 1.020)</b>
15	1.002 (0.987, 1.017)	0.997 (0.983, 1.010)	<b>1.013 (1.004, 1.022)</b>	<b>1.012 (1.003, 1.021)</b>
16	1.007 (0.996, 1.019)	1.002 (0.992, 1.012)	<b>1.015 (1.007, 1.024)</b>	<b>1.014 (1.005, 1.022)</b>
17	<b>1.012 (1.003, 1.022)</b>	1.007 (0.997, 1.016)	<b>1.017 (1.009, 1.025)</b>	<b>1.015 (1.007, 1.022)</b>
18	<b>1.016 (1.005, 1.028)</b>	1.010 (0.999, 1.022)	<b>1.018 (1.010, 1.026)</b>	<b>1.015 (1.007, 1.023)</b>
19	<b>1.019 (1.005, 1.034)</b>	1.013 (0.998, 1.028)	<b>1.018 (1.009, 1.027)</b>	<b>1.015 (1.006, 1.024)</b>
20	<b>1.021 (1.005, 1.037)</b>	1.014 (0.997, 1.031)	<b>1.017 (1.008, 1.027)</b>	<b>1.014 (1.005, 1.023)</b>
21	<b>1.021 (1.005, 1.037)</b>	1.013 (0.997, 1.029)	<b>1.015 (1.007, 1.024)</b>	<b>1.012 (1.003, 1.021)</b>
22	<b>1.019 (1.005, 1.034)</b>	1.011 (0.997, 1.025)	<b>1.012 (1.005, 1.020)</b>	<b>1.009 (1.002, 1.017)</b>
23	<b>1.017 (1.002, 1.031)</b>	1.007 (0.995, 1.020)	<b>1.009 (1.001, 1.016)</b>	1.006 (0.999, 1.014)

Bolds represent statistically significant.

TI thermal inversion.

similarity of the sensitive exposure window of the impact of TI and PM<sub>1</sub> on SGA, we assumed that the critical exposure windows of the associations between TI on SGA could be explained as the effects of PM<sub>1</sub> on SGA. However, the specific mechanism of the relationships between TI and adverse birth outcomes still needs further study.

Male fetus was found to be more vulnerable to PM<sub>1</sub> and TI exposure in the current study. The impact of air pollution on birth outcomes had gender differences, which have been discussed in previous studies. It was suggested that prenatal exposure to bisphenol A played a different role in birth weights between infants of different sex in a multicenter birth cohort study from Korea [64]. A study in Shanghai found that maternal exposure to household air pollution could contribute to more adverse birth outcomes in boys, which was consistent with this study [65]. Similarly, the result that boys are more sensitive to prenatal air pollution was also presented in a time series study in Hefei [66]. Based on vitro study, male fetuses were assumed to be more susceptible to pro-inflammatory environment during pregnancy, which may be a reasonable explanation for our results [67]. However, more mechanism that sexual differences may alter the effects of the external environment should be further studied.

After PM<sub>1</sub> and TI were treated as binary variables, 20.8% excess risks for SGA exposure to higher PM<sub>1</sub> with longer TI based on the independent effects of each exposure alone was found in 20<sup>th</sup> gestational week. It was possible that abnormal temperatures would function interactively with air pollution to damage health and even mortality [34, 68, 69]. In addition to inhibition of pollutants diffusion, TI had potential influences on ambient temperature variation. On the basis of the original environment, temperature rise might accelerate the intake of air pollutants through pulmonary ventilation and elevation in skin blood flow [36]. While a decrease in temperature may reduce mucociliary clearance and increase human susceptibility to pollutants [70]. These deductions might explain the interaction effect between environmental exposures to some extent, but the specific theories have not been fully understood. It could be an interesting work in the future to evaluate the interaction effects of environmental exposure mixtures in the status of related mechanisms not entirely clear.

There were several strengths in this study. Firstly, this might be the first study to focus on the impacts of prenatal exposure to TI on SGA and explore the interaction effects with PM<sub>1</sub> in China. Secondly, the high-resolution (1 km × 1 km) air pollution datasets, detailed addresses and the geographic information system technique applied in the present study allowed us to enhance the accuracy of exposure assessment and the authenticity of the result prediction [71–73]. Thirdly, taking advantage of detailed individual adjustments, we calculated the interactive effects of PM<sub>1</sub> and TI on SGA, which strengthened the chance to obtain effect estimates close to reality. Finally, with gestational weeks as the exposure period, more detailed potential susceptible exposure windows were detected, which might provide more elaborate references for the health management of pregnant women.

Some limitations in this study should be acknowledged. Firstly, data on individual diet and lifestyles such as drinking, smoking, and physical activity are not available, which might be potentially correlated with SGA. Second, the change in mothers' activity areas may cause exposure bias. Third, since not every pregnant woman has more than 26 gestational weeks, we did not consider the risk of PM<sub>1</sub> and TI exposure to SGA after 26<sup>th</sup> gestational week to ensure the sample size as large as possible. And due to the limitation of sample size, the number of SGA in different types of infants varies greatly, which may lead to some random errors. Furthermore, some participants had to be excluded before the analysis due to the incompleteness of the data, and there were significant differences in some personal characteristics between them and the finally included population. Lastly, the present study was conducted in Wuhan, the limited region could result in lacking representativeness to other areas.

## CONCLUSIONS

In conclusion, we found the independent and interactive effects of PM<sub>1</sub> and TI on SGA from 2017 to 2020 in Wuhan. Results in this study showed that maternal exposure to PM<sub>1</sub> at the 1–3 and 17–23 gestational weeks, and TI at the 1–4 and 14–23



gestational weeks were linked with a higher risk of SGA in one-week model. For cumulative models, significant associations between PM<sub>1</sub> and SGA were found at 1–5 gestational weeks, the relationships between TI and SGA were found at 1–26 weeks. Furthermore, a synergistic effect of PM<sub>1</sub> exposure and TI to SGA was observed during 20<sup>th</sup> gestational week. In the context of climate change, the interactive effect of meteorological factors and air pollutants were worthy of attention to protect the health of next generations.

## DATA AVAILABILITY

The datasets generated during and/or analysed during the current study are not publicly available but are available from the corresponding author on reasonable request.

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## AUTHOR CONTRIBUTIONS

JW, WZ and DL conceived and designed the study; YZhang, YZhong, GZ, SZ, Xiaowei Zhang, TL, BC and AH collected and cleaned the data; Xupeng Zhang and FZ performed the data analysis and drafted the manuscript. YG helped revise the manuscript. All authors read and approved the final manuscript.

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## COMPETING INTERESTS

The authors declare no competing interests.

## ETHICAL APPROVAL

This study was approved by the Ethics Committee of Wuhan Children's Hospital (2021R139-F01).

**ADDITIONAL INFORMATION**

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